ABSTRACT

Title of dissertation:	TECHNOLOGY IMPLICATIONS FOR LARGE LAST-LEVEL CACHES
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Large last-level cache ($L^{3}C$) is efficient for bridging the performance and power gap between processor and memory. Several memory technologies, including SRAM, STT-RAM (MRAM), and embedded DRAM (eDRAM), have been used or considered as the technology to implement $L^{3}Cs$. However, each of them has inherent weaknesses: SRAM is relatively low density and dissipates high leakage; STT-RAM has long write latency and requires high write energy; eDRAM requires refresh. As future processors are expected to have larger last-level caches, the objective of this dissertation is to study the tradeoffs associated with using each of these technologies to implement $L^{3}Cs$.

In order to make useful comparisons between L³Cs built with SRAM, STT-RAM, and eDRAM, we consider and implement several levels of details. First, to obtain unbiased cache performance and power properties (i.e., read/write access latency, read/write access energy, leakage power, refresh power, area), we prototype caches based on realistic memory and device models. Second, we present simplistic analytical models that enable us to quickly examine different memory technologies under various scenarios. Third, we review power-optimization techniques for each of the technologies, and propose using a low-cost dead-line prediction scheme for eDRAM-based L³Cs to eliminate unnecessary refreshes. Finally, the highlight of this dissertation is the comparison and analysis of *low-leakage SRAM*, *low writeenergy STT-RAM*, and *refresh-optimized eDRAM*. We report system performance, last-level cache energy breakdown, and memory hierarchy energy breakdown, using an augmented full-system simulator with the execution of a range of workloads and input sets. From the insights gained through simulation results, STT-RAM has the highest potential to save energy in future L³C designs. For contemporary processors, SRAM-based L³C results in the fastest system performance, whereas eDRAM consumes the lowest energy.

TECHNOLOGY IMPLICATIONS FOR LARGE LAST-LEVEL CACHES

by

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Dissertation submitted to the Faculty of the Graduate School of the University of Maryland, College Park in partial fulfillment of the requirements for the degree of Doctor of Philosophy 2013

Advisory Committee: Professor Bruce Jacob, Chair/Advisor Professor Manoj Franklin Professor Jeffrey Hollingsworth Professor Gang Qu Professor Donald Yeung © Copyright by Mu-Tien Chang 2013 To my family,

I-Shou Chang, Agnes Hsiung, Anna Chang, Nai-Nai, and Fee-Fee

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List of Abbreviations

SRAM	static random access memory
DRAM	dynamic random access memory
STT-RAM	spin-transfer torque magnetic random access memory
PCM	phase change memory
FeRAM	ferroelectric random access memory
ReRAM	resistive random access memory
MTJ	magnetic tunneling junction
L1	level 1 cache
L2	level 2 cache
L3	level 3 cache
LLC	last-level cache
L 3 C	large last-level cache
VDD	voltage supply
VTH	threshold voltage
TOX	oxide thickness
PVT	process, voltage, temperature variations
ECC	error-correcting code
WL	wordline
RWL	read wordline
WWL	write wordline
BL	bitline
RBL	read bitline
WBL	write bitline
SL	source-line
HP	high performance
LP	low power
CPI	cycles per instruction
EPI	energy per instruction
API	(cache) accesses per instruction
MPKI	(cache) misses per kilo instruction
AMAL	average memory access latency

Chapter 1

Introduction

1.1 Motivation

Last-level cache (LLC) is a determining factor of both system performance and energy consumption in multi-core processors. While future processors are expected to have more cores [1,2], emerging workloads are also shown to be memory intensive and have large working set sizes [3]. As a result, the demand for large last-level caches (L³Cs) has increased in order to improve the system performance and energy. Examples of processors using L³Cs include the Intel Xeon E7 (Figure 1.1(a)) [4] and the IBM Power7 (Figure 1.1(b)) [5].



Figure 1.1: Die photos. (a) Intel Xeon E7 [4]. (b) IBM Power7 [5].

To illustrate the benefit of utilizing an $L^{3}C$, we vary the LLC capacity and use the *canneal* and *bt* benchmarks as case studies. Figure 1.2 shows the system performance with respect to different LLC sizes. Because better on-chip cache hit ratio reduces the number of long accesses to the off-chip main memory, a larger LLC improves system performance. Likewise, higher on-chip cache hit ratio potentially results in shorter system execution time and fewer main memory activities. Therefore, as shown in Figure 1.3, even though a larger LLC dissipates higher power, it substantially lowers the total energy consumption of the memory hierarchy.



Figure 1.2: Improvement in system performance when applying a larger LLC.



Figure 1.3: Reduciton in memory hierarchy energy when applying a larger LLC.

Though we anticipate future processors to have more cores and larger caches implemented in smaller technologies, without modifying design principles, we will soon hit the *Power Wall* [6], which will limit processors from scaling further. On the large scale such as supercomputers or data centers, high power usage introduces very high electrical cost; and for mobile applications, high energy consumption shortens battery lifetime. Power also produces heat, which degrades electrical and mechanical reliability. In fact, power/energy has already been the main design consideration for the past decade [7].

Traditional processors implement caches using SRAMs (static random access memories). However, SRAM-based LLC dissipates high leakage, making it a major contributor to the processor power consumption, especially when idle. For instance, at 32nm, LLC contributes around 16% of the processor peak power and 30% of the standby power (this estimation is based on simulations using McPAT [8]). Additionally, although the SRAM area scaling follows the down-scaling trend (around 0.5X reduction per generation), the SRAM voltage (VDD) scaling is slower than that of logic gates [9]. This is because an SRAM cell requires a minimum VDD for it to reliably store data. Consequently, at 8nm, the power contribution of LLC will increase to 24% when the processor is operating at peak power, and 34% when the processor is idle.

To reduce the high SRAM-based LLC power consumption, one solution is to implement LLCs with alternative memory technologies. Technology choices include eDRAM (embedded dynamic random access memory), and emerging prototypical non-volatile memories such as STT-RAM (spin-transfer torque magnetic random access memory). However, each of these technologies has dissimilar performance and power properties. Because of that, caches based on different technologies require distinctive device/circuit/architecture optimizations. This dissertation is dedicated to the *technology comparison for* L^3Cs , a design space that has gained increasing attention.

1.2 **Problem Description**

L³Cs are often optimized for high density and low power. SRAMs have been the mainstream memory technology for high performance processors due to their standard logic compatibility and fast access time. However, relative to alternatives, SRAM is a low-density technology that dissipates high leakage power. STT-RAMs and eDRAMs are potential replacements for SRAMs in the context of L³C due to their high density and low leakage features. More specifically, STT-RAM is nonvolatile, dense, with read access time comparable to SRAM, and the potential to scale below 32nm [10]; eDRAM is dense and low leakage compared to SRAM, with access time and energy close to SRAM.

Though they provide many benefits, both STT-RAM and eDRAM have weaknesses. For instance, STT-RAM is less reliable and requires both a long write time and a high write current to program [11]; eDRAM requires refresh operations to preserve its data integrity. In particular, as cache size increases, each refresh operation requires more energy and more lines need to be refreshed in a given time. Moreover, as process technology scales down, increasing leakage and smaller storage capacitance result in shorter retention time, which in turn exacerbates the refresh power problem. Process and temperature variations also negatively affect the eDRAM data-retention time. An eDRAM cache thereby requires a higher refresh rate and refresh power to accommodate the worst case retention time.

In order to make useful comparisons between SRAM, STT-RAM, and eDRAM L³Cs, several levels of details need to be considered. First, a consistent and accurate cache model is essential to obtain unbiased cache performance and power properties (i.e., read/write access latency, read/write access energy, leakage power, refresh power, area). Second, a representative theoretical model is useful to provide a first-order guideline, which can also be further utilized to justify the results of complex microarchitecture models. Third, applying power-optimization techniques is indispensable when energy-efficiency is the primary L³C design consideration. Finally, augmenting a cycle-accurate full-system simulator with the capability to reflect the memory technology properties, and with the low power implementations, enables LLC designers make practical evaluations and comparisons.

The work in this dissertation addresses the above-mentioned considerations, reports performance and energy results, and provides readers a better understanding of design choices and tradeoffs.

1.3 Contribution and Significance

The main contributions of this dissertation are as follows:

1. We provide a tutorial on memory technologies for on-die caches. These technologies include SRAM, STT-RAM, and eDRAM. We also highlight the strengths and weaknesses of each of the technologies.

- 2. We modify a widely-used cache modeling tool, CACTI [12], to gain realistic and unbiased SRAM, STT-RAM, eDRAM cache models. The modifications and enhancements include: (i) Conducting HSPICE circuit simulations with the use of PTM CMOS models [13] to collect accurate device and circuit characteristics that are required by the tool. (ii) Taking the effect of temperature into account, such that not only leakage is temperature dependent, but also performance and dynamic power. (iii) Using NVSim [14] to obtain STT-RAM array characteristics and integrating them into CACTI. (iv) Implementing a gain cell eDRAM model, in which the model is based on HSPICE simulations that includes the effects of PVT variations. Additionally, we utilize the cache models to conduct a comprehensive design space exploration: we evaluate read/write access latency, read/write access energy, leakage power, refresh power, and area, when the cache is implemented in each of the technologies considered. We also study the impact of cache size, technology scaling, and temperature.
- 3. We present analytical models for fast evaluations on the average LLC access latency and LLC energy consumption. The models allow cache designers to examine different memory technologies under various scenarios. Based on the models, we carry out sensitivity analysis and show that LLC read-write ratio, miss ratio, and access intensity are all important factors that determine a memory technology's usefulness.

- 4. We review power-optimization techniques for SRAM, STT-RAM, eDRAM caches. In particular, we classify eDRAM refresh-reduction schemes into two categories and propose a low-cost dead-line prediction scheme to eliminate unnecessary refreshes to eDRAM LLCs. Full-system simulation results indicate that on average the proposed technique reduces the refresh energy by 42% and reduces the LLC energy by 18%, with 1.1% longer execution time compared to the conventional refresh scheme.
- 5. We compare and analyze energy-efficient L^3Cs built with different technologies: low-leakage SRAM, low write-energy STT-RAM, and refresh-optimized eDRAM. In order to conduct representative experiments, we augment a cycleaccurate full-system simulator that is capable of reflecting the properties of each memory technology, and includes the integration of low power techniques. With the simulation environment, we evaluate the system performance, LLC energy breakdown, memory hierarchy energy breakdown, and cost. We also explore the impact of LLC size, technology scaling, processor frequency, and temperature. Full-system simulations show that the choice of memory technology is workload dependent: STT-RAM is the best candidate if the program introduces few write-backs from the upper-level caches and few insertions from the main memory, whereas on average, eDRAM consumes the least energy if refresh is effectively controlled. Simulation results also suggest that STT-RAM has the best potential to save power in future LLC designs; but with contemporary cache implementations and technology developments, SRAM

and eDRAM provide better system performance and/or energy-efficiency.

6. We characterize a range of workloads and input sets. The detailed workload characteristics serve as an aid for logical analysis, such as reasoning why a memory technology dominated the others for a particular workload and input.

1.4 Organization of Dissertation

This dissertation is organized as follows. Chapter 2 provides an overview of memory technologies for on-die caches. In particular, we summarize the strengths and weaknesses of SRAM, STT-RAM, and eDRAM. Chapter 3 presents our SRAM, STT-RAM, and eDRAM cache modeling framework. We then use the framework to conduct a cache design space exploration. Chapter 4 describes analytical models that allow us to quickly compare caches with different performance and power properties. Using the analytical models, we carry out sensitivity studies and underline factors that determine the choice of technology. Chapter 5 reviews low power techniques for SRAM, STT-RAM, and eDRAM caches. This chapter also demonstrates our proposed refresh reduction technique for eDRAM L³Cs. Chapter 6 evaluates L³Cs built with SRAM, STT-RAM, and eDRAM. We first describe our experimental methodology, which is based on a full-system simulator, MARSS [15], running a real operating system (i.e., Linux) and benchmarks (i.e., PARSEC [16] and NPB [17]). We then provide a comprehensive comparison and analysis. Chapter 7 summarizes this dissertation with concluding remarks. Finally, in Appendix A, we characterize the workloads we have considered in this work.

Chapter 2

Memory Technologies for On-Die Caches

There are several memory technologies that have been used or have been considered for implementing LLCs. These include mature technologies that are used in commercial processors, such as SRAM (static random access memory), eDRAM (embedded dynamic random access memory), and technologies in development, such as STT-RAM (spin-transfer torque magnetic random access memory), PCM (phase change memory), FeRAM (ferroelectric random access memory), and ReRAM (resistive random access memory).

In the remainder of this chapter, we will describe SRAM, eDRAM, and STT-RAM in detail. These technologies are fast and have write endurance. The other technologies, PCM, FeRAM, and ReRAM, have inherent weaknesses (e.g., slow access, limited endurance, etc.), that make them far less suitable for implementing on-die caches.

2.1 SRAM

A typical 6T SRAM cell is shown in Figure 2.1. When performing a read operation, the access transistors (AL and AR) are turned on, and, depending on the stored data, one of the pull-down transistors (DL or DR) creates a current path from one of the bit-lines (BL or BLB) to ground, enabling fast differential sensing operation. When performing a write operation, the cell content is written based on the bit-lines' differential voltage signal applied by the write driver.



Figure 2.1: 6T SRAM cell schematic.



Figure 2.2: SRAM leakage paths.

SRAMs can be built using standard CMOS process. They also provide fast memory accesses, making them the most widely used embedded memory technology. For instance, Intel, AMD, and Sun processors use SRAM as the technology to implement the entire cache hierarchy [18–20]. However, due to their six-transistor implementation, SRAM cells are large in size. Subthreshold and gate leakage paths (Figure 2.2) introduced by the cell structure also result in high standby power. The low-density and high leakage characteristics make SRAM less practical for implementing high-capacity caches.

2.2 STT-RAM

STT-RAM is a type of magnetic RAM. An STT-RAM cell consists of a magnetic tunneling junction (MTJ) connected in series with an NMOS access transistor. A schematic of an STT-RAM cell is shown in Figure 2.3, where the MTJ is denoted by the variable resistor. There are two ferromagnetic layers in the MTJ that determine the device resistance: the free layer and the fixed (reference) layer, as illustrated in Figure 2.4. Depending on the relative magnetization directions of these two layers, the MTJ is either low-resistive (parallel) or high-resistive (anti-parallel). It is thereby used as a non-volatile storage element.



Figure 2.3: 1T1R STT-RAM cell schematic.

When performing a read operation, the access transistor is turned on, and a small voltage difference (or a small current) is applied between the bit-line (BL) and the source-line (SL) to sense the MTJ resistance. When performing a write operation, a high voltage difference is applied between BL and SL. The polarity of the BL-SL cross voltage is determined by the desired data to be written. Long write



Figure 2.4: MTJ structure.

pulse duration and high write current amplitude are required to reverse and retain the direction of the free layer.

Though we usually refer STT-RAM as a non-volatile technology, it is also possible to trade its non-volatility (i.e., its retention time) for better write performance [21]. The retention time can be modeled as

$$t = t_0 \times e^{\Delta} \tag{2.1}$$

where t is the retention time, t_0 is the thermal attempt frequency, and Δ is the thermal barrier that represents the thermal stability [22]. Δ can be characterized using

$$\Delta \propto \frac{M_s H_k V}{k_B T} \tag{2.2}$$

where M_s is the saturation magnetization, H_k is the anisotropy field, V is the volume, k_B is the Boltzmann constant, and T is the absolute temperature.

A smaller Δ (shorter retention time) allows a shorter write pulse width or

a lower write current. In the example given by Jog et al. [23], to gain a 10-year retention period, 114uA write current is required, but only 73uA is necessary to gain an 1-second retention (in this example, both cases require a 10ns write pulse width). However, a smaller Δ also increases the probability of random STT-RAM bit-flip, and therefore requires a faster scrubbing rate or a stronger ECC (errorcorrecting code). For instance, Naeimi et al. [24] showed that to achieve similar robustness for 64MB caches using the same scrubbing rates, SECDED (single error correction and double error detection) is required when Δ is 47.3, whereas a stronger ECC-type, 5EC6ED, is required when Δ is 32.

2.3 eDRAM

In addition to SRAM, eDRAM has also been utilized in commercial products. One well known example is the IBM Power7 processor (Figure 1.1(b)) [5], where eDRAM is used to implement the last-level L3 cache.

There are two common types of eDRAM: the 1T1C eDRAM and the gain cell eDRAM. Both of them utilize some form of capacitor to store the data. For instance, a 1T1C cell utilizes a dedicated capacitor to store its data, while a gain cell relies on the gate capacitance of its storage transistor. The refresh rate of an eDRAM circuit is determined by its data-retention time, which depends on the rate of cell leakage and the size of storage capacitance.

2.3.1 1T1C eDRAM

A 1T1C eDRAM cell consists of an access transistor and a capacitor (C), as shown in Figure 2.5. 1T1C cells are denser than gain cells, but they require additional process steps to fabricate the cell capacitor. A cell is read by turning on the access transistor and transferring electrical charge from the storage capacitor to the bit-line (BL). Read operation is destructive because the capacitor loses its charge while it is read. Destructive read requires data write-back to restore the lost bits. The cell is written by moving charge from BL to C.

Due to junction leakage, gate-induced drain leakage, subthreshold leakage, field transistor leakage, and capacitor dielectric leakage, a DRAM cell loses charge over time [25]. Additionally, because eDRAM uses fast access transistors with a higher leakage current compared to conventional DRAM, the retention time of eDRAM is much shorter than conventional DRAM. For example, the retention time of an IBM 32nm SOI eDRAM is reported as 40us at 85°C [26], whereas the retention time of commodity DRAM is 64ms [27].



Figure 2.5: 1T1C eDRAM cell schematic.

2.3.2 Gain Cell eDRAM

Gain cell memories are typically implemented with two or three transistors, providing low leakage, high density, and fast memory access. Figure 2.6 shows a typical 2T gain cell and a typical 3T gain cell built with PMOS transistors. We use the 3T PMOS gain cell as an example to describe the basic operation of gain cell eDRAMs.



Figure 2.6: Gain cell eDRAM schematics. (a) 2T PMOS cell. (b) 3T PMOS cell.

A 3T PMOS gain cell is comprised of a write access transistor (PW), a read access transistor (PR), and a storage transistor (PS). PMOS transistors are utilized because a PMOS device has less leakage current compared to an NMOS device with the same size. Less leakage current enables lower standby power and longer retention time. During hold mode, both the read word-line (RWL) and the write word-line (WWL) are at VDD such that PR and PW are off, while the read bit-line (RBL) and the write bit-line (WBL) stay at 0V. In read mode, PR is switched on, and RBL is pulled up only when the voltage at the storage node is low. The sense amplifier then determines the cell data based on the RBL's voltage level. In write mode, similar to the 1T1C eDRAM, WWL is negatively over-driven to avoid threshold voltage loss. Data is then written into the storage node via PW. Table 2.1 summarizes the signal voltages in each operating mode.

0		0		
	RWL	RBL	WWL	WBL
Hold	VDD	0V	VDD	0V
Write $0/1$	VDD	0V	$-500 \mathrm{mV}$	0V/VDD
Read $0/1$	0V	$200 \mathrm{mv}/\mathrm{0V}$	VDD	0V

Table 2.1: Signals for each operating mode of the 3T PMOS gain cell [28].

Leakage causes the voltage of the storage node to change over time. An example is shown in Figure 2.7, where the data retention time is characterized as the time it takes for data '0' to rise to a specified voltage. In this example, the specified voltage is 0.65V and the retention time is 100us. A read is likely to be erroneous if data '0' rises above 0.65V, because distinguishing data '0' from data '1' becomes difficult. Note that this example is based on the PTM 65nm LP CMOS process [13]. Figure 2.8 shows the leakage paths that are associated with data retention time.



Figure 2.7: Gain cell retention time characteristics. The 3T PMOS gain cell retention time is determined by data '0' because the storage node is surrounded by high voltages. This example is based on PTM 65nm LP CMOS model operating at 1V, 85°C, without considering process variations.



Figure 2.8: 3T PMOS gain cell eDRAM leakage paths.

2.4 Summary

Table 2.2 compares the technology features of SRAM, STT-RAM, and two types of eDRAM. In summary, SRAM is the fastest technology, but has the largest area and dissipates high leakage. STT-RAM is non-volatile, and has the potential to scale. However, it requires long write time and high write energy to program. It also requires extra process to fabricate, and it is less reliable compared to SRAM and eDRAM. Finally, eDRAM dissipates low leakage power, but requires refresh operations to maintain data correctness.

	SRAM	STT-RAM	eDRA	AM
			1T1C	Gain cell
Cell schematic				WVL PR
Process	CMOS	CMOS + MTJ	CMOS + Cap	CMOS
Cell size (F^2)	120 - 200	6 - 50	20 - 50	60 - 100
Data storage	Latch	Magnetization	Capacitor	MOS gate
Read time	Short	Short	Short	Short
Write time	Short	Long	Short	Short
Read energy	Low	Low	Low	Low
Write energy	Low	High	Low	Low
Leakage	High	Low	Low	Low
Endurance	10^{16}	10^{15}	10^{16}	10^{16}
Retention time	-	-	<100us *	<100us *
Features	(+) Fast (-) Large area (-) Leakage	 (+) Non-volatile (+) Potential to scale (-) Extra process (-) Long write time (-) High write energy (-) Poor stability 	 (+) Low leakage (+) Small area (-) Extra process (-) Destructive read (-) Refresh 	(+) Low leakage (+) Decoupled rd/wr (-) Refresh

Table 2.2: Comparison of various memory technologies for on-die caches.

* 32nm technology node

Chapter 3

Cache Modeling

In this chapter, we present our SRAM, STT-RAM, and eDRAM cache modeling framework. The framework builds on top of CACTI [12], an analytical model that estimates the access time, cycle time, dynamic power, leakage power, and area of caches. We then present a general design space exploration, and compare large SRAM, STT-RAM, and eDRAM caches. At the end of this chapter, we review the research works and tools that are related to cache or memory modeling.

3.1 Cache Modeling Framework

3.1.1 Overview

This section starts with an overview of our cache modeling framework, as illustrated in Figure 3.1. For the peripheral circuitry, the SRAM array, and the gain cell eDRAM array, we first conduct circuit (HSPICE) simulations using the PTM CMOS models [13]. We then extract the circuit characteristics and integrate them into CACTI. For the STT-RAM cache modeling, we obtain STT-RAM array characteristics using NVSim [14] and integrate them into CACTI. The STT-RAM device parameters are projected according to [23,29]. Currently, CACTI only models leakage power as being temperature dependent. We extend CACTI to model the effects of temperature on dynamic power, refresh power, and performance. We summarize the CACTI modifications we made as follows:

- We conduct HSPICE simulations using PTM CMOS models to obtain realistic device and circuit behaviors. We consider both high performance (HP) and low power (LP) CMOS implementations. We also consider various technology nodes (45nm, 32nm, 22nm).
- We take the effect of temperature into account. We enhance CACTI such that both performance (read/write access time) and power (dynamic and standby power) are temperature dependent.
- We use NVSim to obtain STT-RAM array characteristics and integrate them into CACTI.
- We integrate a gain cell eDRAM model to CACTI. Our model is based on HSPICE simulations that include the effects of PVT (process, voltage, temperature) variations.

3.1.2 CMOS Technology Modeling

To model various CMOS technologies, such as different technology implementations (high performance, low power, etc.) and different technology nodes (45nm, 32nm, 22nm, etc.), we use models provided by the Predictive Technology Model (PTM) website [13]. The models are widely adopted by the circuit research community – they are accurate, scalable, and compatible with SPICE. Based on the PTM



Figure 3.1: Cache modeling framework.

models, we conduct HSPICE simulations and extract the information required by CACTI. We then extend the look-up table in CACTI, and insert the extracted device and circuit characteristics. When executing CACTI, CACTI points to the entries that contain the user specified parameters.

An important improvement to CACTI is the use of realistic PMOS models. CACTI models PMOS leakage by assuming a PMOS device has the same leakage as an NMOS device with the same dimension, where in typical CMOS technologies, a PMOS device leaks less than an NMOS device [30]. This simplification of treating the leakage of NMOS and PMOS devices identically is problematic, as it overestimates the total leakage power. Our data indicates that when using realistic PMOS models, the leakage power of a cache reduces by approximately 20%.

As an example, we show a subset of the look-up table in Table 3.1. This table compares the HP and LP devices and various technology nodes. Higher driving current (I_{on}) produces higher performance, and lower leakage current (I_{leak}) results in lower standby power. Note that I_{leak} corresponds to the sum of the subthreshold leakage and the gate leakage.

HP		$45 \mathrm{nm}$	$32 \mathrm{nm}$	22nm
	VDD	1V	$0.9\mathrm{V}$	0.8V
NMOS	$I_{on} (A/um)$ $I_{leak} (A/um)$	1.20E-3 5.77E-8	1.20E-3 1.27E-7	1.21E-3 2.73E-7
PMOS	$I_{on} (A/um)$ $I_{leak} (A/um)$	7.13E-4 1.57E-8	7.13E-4 5.02E-8	7.14E-4 2.53E-7
LP		45nm	32nm	22nm
LP	VDD	45nm 1.1V	32nm 1V	22nm 0.95V
LP NMOS	VDD I_{on} (A/um) I_{leak} (A/um)	45nm 1.1V 3.87E-4 2.70E-10	32nm 1V 3.99E-4 5.54E-10	22nm 0.95V 3.65E-4 1.25E-9

Table 3.1: CMOS technology comparison.

Temperature $= 75^{\circ}$ C

3.1.3 Temperature Modeling

One enhancement to CACTI we made is a comprehensive temperature model. Both circuit performance and power are temperature dependent: performance degrades and leakage power increases with increasing temperature [31]. We show a few examples of the effect of temperature in Figure 3.2. Note that lower I_{on} means slower performance, and higher I_{leak} means higher leakage power. However, CACTI only models the dependence of leakage power on temperature. We improve CACTI by adding an I_{on} look up table such that the circuit performance and dynamic energy consumption are also temperature dependent. Figure 3.3 shows the read access time and energy after accounting the effect of temperature on I_{on} .



Figure 3.2: I_{on} and I_{leak} vs. temperature. As temperature raises, I_{on} decreases and I_{leak} increases. (a) HP CMOS I_{on} . (b) HP CMOS I_{leak} . (c) LP CMOS I_{on} . (d) LP CMOS I_{leak} . The results are based on the 32nm technology node.



Figure 3.3: The impact of temperature on read access time and energy. We show that the results before and after considering the effect of temperature can be very different. When accounting temperature, the cache characteristics become more realistic. (a) Read access time. (b) Read energy per access. The results are based on a 32nm 32MB SRAM cache.
3.1.4 STT-RAM Modeling

The STT-RAM modeling is based on NVSim, a memory modeling tool similar to CACTI, but which is capable of modeling various non-volatile memories including STT-RAM, PCM (phase change memory), ReRAM (resistive RAM), FBDRAM (floating body dynamic RAM), and NAND flash. For STT-RAM modeling, it requires configurations such as the cell size, SET/RESET pulse width and amplitude, and the read current.

We project the parameters required by NVSim based on scaling trends shown in [29] and [23], using the 54nm technology node as the baseline. The projected data are presented in Table 3.2. Note that we kept the cell feature size and the write pulse width constant to simplify the projection. Also note that the write pulse width and amplitude shown correspond to the required write energy that can successfully write an STT-RAM cell and preserve its state for 10 years. Later in Chapter 5, we show that a lower write energy is needed if the target data-retention time is shorter.

			I to the second	
Technology	Feature size (F^2)	Write pulse	Write	Read
Node		width (ns)	current (uA)	current (uA)
54nm	$egin{array}{c} 14 \\ 14 \\ 14 \\ 14 \end{array}$	10	350	74
45nm		10	292	61
32nm		10	207	44
22nm		10	143	30

Table 3.2: STT-RAM parameters.

3.1.5 Gain Cell eDRAM Modeling

Similar to SRAM, there are many forms of gain cell eDRAM [32–43]. For CPU cache architectures, both the operating speed and the retention time of a gain cell

eDRAM circuit are important. We thus chose the boosted 3T gain cell [40] as the fundamental cell structure due to its capability to operate at high frequency while preserving a long data-retention time.

Figure 3.4 shows the schematic of the boosted 3T PMOS gain cell eDRAM. It is comprised of a write access transistor (PW), a read access transistor (PR), and a storage transistor (PS). PMOS transistors are utilized because a PMOS device has less leakage current compared to an NMOS device of the same size. Lower leakage current enables lower standby power and longer retention time.



Figure 3.4: Boosted 3T gain cell schematic.

During write access, the write bit-line (WBL) is driven to the desired voltage level by the write driver. Additionally, the write word-line (WWL) is driven to a negative voltage to avoid the threshold voltage drop such that a complete data '0' can be passed through the PMOS write access transistor from WBL to the storage node.

When performing a read operation, once the read word-line (RWL) is switched from VDD to 0V, the precharged read bit-line (RBL) is pulled down slightly if a data '0' is stored in the storage node. If a data '1' is stored in the storage node, RBL remains at the precharged voltage level. The gate-to-RWL coupling capacitance of PS enables preferential boosting: when the storage node voltage is low, PS is in inversion mode, which results in a larger coupling capacitance. On the other hand, when the storage node voltage is high, PS is in weak-inversion mode, which results in a smaller coupling capacitance. Therefore, when RWL switches from VDD to 0V, a low storage node voltage is coupled down more than a high storage node voltage. The signal difference between data '0' and data '1' during a read operation is thus amplified through preferential boosting. This allows the storage node voltage to decay further before a refresh is needed, which effectively translates to a longer data-retention time and better read performance. Table 3.3 summarizes the signal voltages for each operating mode.

Table 3.3: Signals for each operating mode of the boosted 3T gain cell [28].

	RWL	RBL	WWL	WBL
Hold Write 0/1 Bood 0/1	VDD VDD	VDD VDD	VDD -500mV VDD	0V 0V/VDD 0V

3.1.5.1 Validation

The gain cell eDRAM model is validated against [28] with respect to latency, retention time, and refresh power. Our model is based on CACTI utilizing the PTM 65nm LP CMOS technology, while the test chip presented in [28] is fabricated in a 65nm LP CMOS process. Setting the same memory array size, operating voltage and temperature, our model shows 11% increase in latency and 20% decrease in retention time. In addition, with the same refresh rate, our model shows 13% more refresh power. These differences are possibly due to implementation differences between the processes and array organizations. We thus consider our model to be reasonable.

3.1.5.2 The Impact of PVT Variations

We quantify the correlations between the retention time of gain cell eDRAMs and PVT variations. We utilize HSPICE and its Monte-Carlo simulation utility to analyze the retention time variation of a bit cell, where each simulation point consists of 5,000 samples. To model the process variations, we consider both the VTH (threshold voltage) variation and the TOX (oxide thickness) variation, as suggested in [44]. We further model the distribution of a cache line based on the retention time distribution of a bit cell. Table 3.4 shows the retention times of 45nm, 32nm, and 22nm gain cells we have modeled.

Table 3.4: Gai	<u>n cell r</u>	etentio	<u>n time</u> .
	$45 \mathrm{nm}$	32 nm	22nm
Retention time	40us	20us	10us

• Process variations. Figure 3.5 shows the gain cell eDRAM retention time distribution with respect to a range of process variations. We assume both VTH and TOX follow the Gaussian distribution. The ranges of variances of VTH and TOX are based on process variations of 32nm CMOS technologies presented in [45]. From Figure 3.5(a), the retention time distribution of a bit cell appears as a Gaussian distribution but with the lower tail longer than the upper tail, meaning only a few samples are in the worst case retention time

level. Moreover, the retention time distribution is very sensitive to the process variations – the larger the variation, the more spread out the distribution. The retention time distribution of a 64B cache line is reflected by the bit cell retention time distribution. As a result, when the process variations increase, the line retention time distribution curve shifts left to a shorter retention time region with the spread remaining approximately the same, as shown in Figure 3.5(b).



Figure 3.5: Retention time distribution vs. process variations. (a) Bit cell. (b) 64B cache line. Supply voltage = 1 V; temperature = 75°C. The percentage numbers in parentheses represent $(\sigma_{VTH}/\mu_{VTH}, \sigma_{TOX}/\mu_{TOX})$

• Voltage variation. Figure 3.6 shows that the supply voltage variation has negligible impact on the retention time. For a given gain cell design and supply voltage, one can exchange faster access time with longer retention time, i.e., a cell with faster access time has shorter retention time and vice versa. However, under a higher voltage, although the access time becomes shorter, leakage also increases. Higher leakage shortens the data-retention time. Faster access time and higher leakage thus balance each other out, producing near-zero net effect

on the cell retention time.



Figure 3.6: Retention time distribution vs. voltage variation. (a) Bit cell. (b) 64B cache line. $\sigma_{VTH}/\mu_{VTH} = 14\%$, $\sigma_{TOX}/\mu_{TOX} = 7\%$; temperature = 75°C.

• Temperature variation. Leakage current is a function of temperature – leakage increases with increasing temperature. Consequently, the retention time shortens as the temperature increases, as demonstrated in Figure 3.7. Since temperature is power dependent, the processor thermal behavior is different for different workloads or execution phases [46]. On-die temperature sensors are thus required for tuning the refresh rate at run-time.

3.2 Design Space Exploration

Using our cache modeling framework, we compare large SRAM, STT-RAM, and gain cell eDRAM caches. The design space considered in this section includes cache size, technology scaling, and temperature. We use the following cache characteristics as the key comparison metrics: read latency (ns), write latency (ns), read energy (nJ/access), write energy (nJ/access), leakage power (mW), refresh power



Figure 3.7: Retention time distribution vs. temperature. (a) Bit cell. (b) 64B cache line. $\sigma_{VTH}/\mu_{VTH} = 14\%$, $\sigma_{TOX}/\mu_{TOX} = 7\%$; supply voltage = 1 V.

(mW), and area (mm^2)

3.2.1 Memory Technology Comparison

Table 3.5 compares 32nm 32MB caches built with SRAM, STT-RAM, and eDRAM. We summarize the results as follows:

• Read latency and read energy. Our experiments show that the interconnections play a dominant role in access time and access energy for high capacity caches. As a result, although accessing an SRAM cell requires the least time (reading an SRAM cell requires less than 1ns; reading an STT-RAM or an eDRAM cell requires around 2ns [47, 48]), due to the smaller cell sizes and shorter wires, the STT-RAM and the eDRAM caches have shorter read latencies and lower read energies compared to the SRAM cache. In particular, the STT-RAM cache has the smallest cell size and correspondingly best read performance. In summary, the read latency of the SRAM cache is 1.45X and 1.04X longer than the STT-RAM and eDRAM caches, and its read energy is 2.22X and 1.21X higher.

- Write latency and write energy. Due to the long write time and the high write current requirements for programming an STT-RAM cell, the STT-RAM cache has much longer write latency and much higher write energy. Our results show that the STT-RAM cache has around 7X longer write latency when compared to the SRAM and the eDRAM caches, while requiring more than 20X energy per write access.
- Standby power. Our results show that the SRAM cache consumes 2.94X and 1.46X more standby power (leakage and refresh together) than the STT-RAM and the eDRAM caches.

When comparing leakage power, STT-RAM cells and eDRAM cells dissipate zero/low leakage, therefore the leakage of the STT-RAM and the eDRAM caches are mainly caused by the peripheral circuitry. On the other hand, in addition to the peripheral circuitry, SRAM cells also dissipate high leakage. As a result, the SRAM cache consumes the highest leakage power among the three memory designs.

In addition to leakage power, eDRAM also consumes refresh power. Note that although refresh is not required for SRAM and STT-RAM (and hence zero refresh power), it is advised to scrub STT-RAM periodically to ensure data correctness. Most research papers ignore the STT-RAM scrubbing overhead. We also do not consider scrubbing in this work. • Area. Memory cell size is a major factor that determines the area of a cache. We show that the SRAM cache is around 5X and 2X larger than the STT-RAM and the eDRAM caches, respectively.

Table 3.5: Detailed characteristics of 32nm 32MB cache designs built with various memory technologies.

	SRAM	STT-RAM	Gain cell eDRAM
Read latency	4.45 ns	3.06 ns	4.29 ns
Write latency	4.45 ns	31.77 ns	4.29 ns
Retention time	-	10 years	20 us
Read energy	2.10 nJ/access	0.94 nJ/access	1.74 nJ/access
Write energy	2.21 nJ/access	47.43 nJ/access	1.79 nJ/access
Leakage power	$2105.28~\mathrm{mW}$	$715.38~\mathrm{mW}$	$784.10 \mathrm{~mW}$
Refresh power	0 mW	0 mW	$600.41 \mathrm{~mW}$
Area	$80.41 \ mm^2$	$16.39 \ mm^2$	$37.38 \ mm^2$

Temperature = 75° C

3.2.2 Cache Size

Figure 3.8 and Figure 3.9 compare SRAM, STT-RAM, and eDRAM with respect to different cache sizes. We also present the detailed numbers in Table 3.6.

As the cache size increases, all the presented metrics also increase. In particular, since the side effect of adding more SRAM cells is higher leakage, the SRAM leakage power increases almost proportionally to the growth of capacity. Similarly, because having more eDRAMs means more refresh operations are required in a given time, the eDRAM refresh power also increases in proportion with cache size. Lastly, cache area is proportional to capacity.

Increasing cache size also increases read/write latency and read/write energy. The added latency and access energy are mainly due to longer access paths, such as deeper decoders and longer wires.



Figure 3.8: Cache characteristics with respect to different memory technologies and different cache sizes. (a) Read latency. (b) Write latency. (c) Read energy. (d) Write energy. (e) Leakage power. (f) Refresh power.



Figure 3.9: Cache area with respect to different memory technologies and different cache sizes.

	Cache size	SRAM	STT-RAM	eDRAM
	16MB	3.82	2.64	3.22
Read latency (ns)	32 MB	4.45	3.06	4.29
	64 MB	5.75	3.69	5.40
	16MB	3.82	31.49	3.23
Write latency (ns)	32 MB	4.45	31.77	4.29
	$64 \mathrm{MB}$	5.75	32.22	5.40
	16MB	1.74	0.78	1.03
Read energy (nJ/access)	32 MB	2.10	0.95	1.74
	64 MB	2.88	1.22	2.38
	16MB	1.85	47.26	1.09
Write energy (nJ/access)	32 MB	2.21	47.43	1.79
	64 MB	3.06	47.70	2.50
	16MB	1269.92	536.4	499.17
Leakage power (mW)	32 MB	2105.28	715.38	784.104
	64 MB	3602.26	1228.32	1259.89
	16MB	0	0	133.78
Refresh power (mW)	$32 \mathrm{MB}$	0	0	600.41
,	64 MB	0	0	1200.82
	16MB	51.42	8.75	18.83
Area (mm^2)	32 MB	80.41	16.39	37.38
· · · · ·	$64 \mathrm{MB}$	156.90	31.28	105.78

Table 3.6: Detailed characteristics of 32nm cache designs with respect to different memory technologies and different cache sizes.

Temperature = 75° C

3.2.3 Technology Scaling

Figure 3.10 and Figure 3.11 compare various memory technologies with respect to different technology nodes (see Table 3.7 for the detailed numbers). As technology scales down, smaller device and wire capacitance (i.e., smaller loading) make transistors easier to drive the next stage. As a result, when using a smaller technology, both read and write latencies become shorter. Additionally, it is projected that STT-RAM requires smaller write energy (a function of write time and write current) at smaller technology nodes; therefore we see a more dramatic decrease in its write latency and write energy.

Leakage increases as technology scales down. Note that this observation is based on the assumption that all nodes use the same device technology. For instance, our 45nm, 32nm, and 22nm are all based on high-k/metal gate CMOS devices. We expect to see higher performance and lower power at the 22nm node when using a multi-gate model.

The refresh power trend is less intuitive. Refresh power is mainly determined by two factors: the retention time and the energy to operate each refresh operation. As technology scales down, increasing leakage and smaller storage capacitance result in shorter retention time (Table 3.4), but the energy for each refresh operation also decreases (Table 3.8), compensating the shortened retention time. We therefore see a slow increase in refresh power.



Figure 3.10: Cache characteristics with respect to different memory technologies and different technology nodes. (a) Read latency. (b) Write latency. (c) Read energy. (d) Write energy. (e) Leakage power. (f) Refresh power.



Figure 3.11: Cache area with respect to different memory technologies and different technology nodes.

	Technology node	SRAM	STT-RAM	eDRAM
Read latency (ns)	$\begin{array}{c} 45\mathrm{nm}\\ 32\mathrm{nm}\\ 22\mathrm{nm}\end{array}$	$4.86 \\ 4.45 \\ 4.12$	$3.36 \\ 3.06 \\ 2.85$	$4.76 \\ 4.29 \\ 4.00$
Write latency (ns)	45nm 32nm 22nm	$4.86 \\ 4.45 \\ 5.75$	$35.24 \\ 31.77 \\ 24.59$	$ 4.76 \\ 4.29 \\ 4.00 $
Read energy (nJ/access)	$\begin{array}{c} 45\mathrm{nm}\\ 32\mathrm{nm}\\ 22\mathrm{nm}\end{array}$	$3.67 \\ 2.10 \\ 1.14$	$1.66 \\ 0.95 \\ 0.52$	$3.04 \\ 1.74 \\ 0.94$
Write energy (nJ/access)	45nm 32nm 22nm	3.88 2.21 1.21	67.52 47.43 32.78	3.14 1.79 0.97
Leakage power (mW)	45nm 32nm 22nm	1504.83 2105.28 3305.42	492.32 715.38 1161.76	545.28 784.104 1119.22
Refresh power (mW)	$45 \mathrm{nm}$ $32 \mathrm{nm}$ $22 \mathrm{nm}$	0 0 0	0 0 0	568.55 600.41 609.38
Area (mm^2)	$\begin{array}{c} 45\mathrm{nm}\\ 32\mathrm{nm}\\ 22\mathrm{nm}\end{array}$	$160.24 \\ 80.41 \\ 38.02$	32.43 16.39 7.77	73.94 37.38 17.67

Table 3.7: Detailed characteristics of 32nm cache designs with respect to different memory technologies and different technology nodes.

Temperature = 75° C

Table 3.8: Energy per refresh operation for 32MB eDRAM cache designs.

	$45 \mathrm{nm}$	$32 \mathrm{nm}$	22nm
Energy (nJ/refresh)	0.043	0.023	0.012
Temperature $= 75^{\circ}$	C		

3.2.4 Temperature

The increase in temperature negatively impacts performance and power, shown in Table 3.9. In particular, as we have illustrated earlier in Figure 3.2, leakage is highly affected by the temperature. As a result, when the temperature rises from 75°C to 95°C, we observe substantial increase in both the leakage and the refresh power.

Table 3.9: Detailed characteristics of 32nm 32MB cache designs with respect to different memory technologies and different temperatures.

	Temperature	SRAM	STT-RAM	eDRAM
Read latency (ns)	75°C 95°C	$\begin{array}{c} 4.45 \\ 4.74 \end{array}$	$3.06 \\ 3.29$	$4.29 \\ 4.58$
Write latency (ns)	75°C 95°C	$\begin{array}{c} 4.45 \\ 4.74 \end{array}$	$31.77 \\ 32.32$	$4.29 \\ 4.58$
Read energy (nJ/access)	75°C 95°C	$2.10 \\ 2.11$	$0.95 \\ 0.96$	$1.74 \\ 1.75$
Write energy (nJ/access)	75°C 95°C	$2.21 \\ 2.23$	$47.43 \\ 47.44$	$1.79 \\ 1.80$
Leakage power (mW)	75°C 95°C	2105.28 2990.99	715.38 991.72	$784.104 \\ 1064.99$
Refresh power (mW)	75°C 95°C	0 0	0 0	600.41 683.94

3.3 Related Work

CACTI is an analytical model that estimates the access time, cycle time, dynamic power, leakage power, and area of caches. Originally based on the access time model presented by Wada et al. [49] and the chip area model presented by Mulder et al. [50], CACTI 1.0 [51] includes an additional array organizational parameter, improved peripheral circuit models, a tag array model, and cycle time expressions to the cache model. In CACTI 2.0 [52], modeling of fully-associative and multiported caches are supported. Additionally, it is capable of estimating power consumption. It is also able to capture the effect of technology scaling. CACTI 3.0 [53] further improves the area and power model. It also supports fully-independent banking of caches. In eCACTI [54], leakage power is taken into account, which enables more accurate power estimation. Modeling of leakage power is also included in CACTI 4.0 [55]. Moreover, this version updates the basic circuit structures and device parameters to reflect advanced technologies. Rodriguez et al. [56] improved CACTI such that pipelined caches are accurately modeled. As process technologies enter the deep-submicron era, traditional linear scaling of devices is no longer applicable. CACTI 5.1 [57] resolves this limitation by adopting the ITRS projected device parameters at several technology nodes (90nm, 65nm, 45nm, 32nm). Furthermore, it provides high performance, low standby power, low operating power CMOS models, embedded DRAM models, and commodity DRAM models. The latest version, CACTI 6.5 [12], includes advanced interconnection and wire models such that it is capable of exploring NUCA (non-uniform cache access) and interconnect designs of large caches.

In addition to or based on CACTI, several memory modeling tools were developed. In particular, Tsai et al. [58] proposed 3DCacti to explore cache design for 3D architectures. Mohen et al. [59] proposed a power model for NAND flash. Smullen et al. [21] and Xu et al. [60] explores STT-RAM based cache by implementing STT-RAM models to CACTI. Dong et al. [14] presents NVSim, a tool to model non-volatile memories. Volelsang et al. [61] proposed a flexible DRAM power model to analyze a wider range of DRAM architectures. Lee et al. [62] models Fin-FET and extends CACTI to evaluate FinFET-based caches. Li et al. [63] proposed CACTI-P, an SRAM cache model that considers advanced leakage reduction techniques. Jouppi et al. [64] proposed CACTI-IO, an extension to CACTI that includes models for the IO and PHY of the off-chip memory interface.

In this work, we obtain gain cell eDRAM circuit characteristics via HSPICE simulation using PTM CMOS models. We then integrate gain cell eDRAM to CACTI. In order to make fair comparisons, we modify CACTI such that the peripheral circuitry and SRAM cells also use the same PTM CMOS models. Furthermore, we use NVSim to obtain the characteristics of STT-RAM subarrays, and integrate them into CACTI. Finally, we improve the tool such that the effect of temperature variation is accurately captured.

3.4 Summary

This chapter presents an SRAM, STT-RAM, and eDRAM cache modeling framework based on CACTI. We describe the framework and the modifications to CACTI in Section 3.1. Specifically, we use HSPICE and PTM CMOS models to obtain realistic circuit and device characteristics. We also consider the impact of temperature. Moreover, we integrate a gain cell eDRAM model into the framework. In Section 3.2, we use the framework to demonstrate a general design space exploration. In particular, we compare large caches built with SRAM, STT-RAM, or eDRAM, and discuss the impact of cache size, technology scaling, and temperature. Finally, we review related works in Section 3.3.

Chapter 4

Technology Comparison Based on Analytical Models

In the computer architecture field, architecture simulation has been the mainstream methodology to evaluate architecture and technology tradeoffs. Some architecture simulators (e.g., full-system simulators) closely reflect real world computer systems, but they are usually slow and exhibit non-determinism [65]. On the other hand, although analytical models neglect many implementation details, they are efficient and in many instances provide reasonable design guidelines. In particular, when exploring a large design space, analytical models become useful to filter out less-optimal designs. In this chapter, we present analytical models to compare caches built with different memory technologies and highlight the key factors that determine the usefulness of each technology.

4.1 Pipelined Caches

Pipelining is an effective way to improve the data throughput of caches. In this work, we present a pipeline model for sequentially accessed LLCs. A sequentially accessed cache saves dynamic power because the data array is accessed only when a tag is matched. Note that the model can be easily modified for parallel accessed caches. We also assume the cache uses the write allocate policy.

We consider a four-stage pipelined cache:

- Tag decode, access, and comparison (tag stage): Since accessing the tag array is usually fast, we assume decoding the tag address, reading the cells, and comparing the tag are all contained in one stage. In some implementations, the tag itself is also pipelined.
- 2. Data decode (*dec stage*): On a tag match (i.e., a cache hit), the data array will be accessed by first decoding the address to select the desired cache line. The depth of the decoder depends on the array size. For a large array partitioned into multiple banks, the decoder consists of the pre-decoder, the bank decoder, and the wordline (WL) decoder. If the cache access is a write, we assume data input and de-multiplexing also happen in the decode stage.
- 3. Data array access (*rd/wr stage*): When a WL is enabled, the precharge circuit is turned off. A differential signal is then generated on a bitline (BL) pair based on either the cell's content or the write driver, depending on whether it is a read operation or a write operation. For a read operation, the sense amplifiers (SAs) convert the differential signals to digital forms and send them to the SA latches. After the cells are read or written, the BLs are precharged and equalized.
- 4. Multiplexing and data output (*out stage*): If the cache access is a read, the SA latched data is further sent to the cache output port via multiplexers.

Figure 4.1 shows simplistic representations of four cache access scenarios: read hit, write hit, read miss, and write miss. Note that when writing to a cache, the data output stage is skipped. Also note that on a read miss, after fetching data from the main memory, the fetched data is sent to the CPU/L1/L2 in parallel with an LLC update. Finally, because we consider a write allocate LLC, on a write miss the line is first allocated by the main memory, then occurs the write hit action.

Read hit





tag dec wr (out stage skipped)

Read miss



Write miss

tag		tag	dec	wr
	mem			

Figure 4.1: Simplistic LLC access sequences.

4.2 Model Description

4.2.1 Average Memory Access Latency

We extend the classical average memory access latency (AMAL) equation pre-

sented in Hennessy and Patterson [66] to compute AMAL. It can be approximated

as

$$AMAL = \frac{TMAL}{\#accesses} \tag{4.1}$$

where TMAL represents the total memory access latency, approximated as

$$TMAL = T_{cache} + T_{memory} \tag{4.2}$$

and

$$\#accesses = \#rd_{hit} + \#wr_{hit} + \#rd_{miss} + \#wr_{miss}$$
(4.3)

 T_{cache} and T_{memory} are approximated as

$$T_{cache} = \{ \# rd_{hit} \cdot (T_{tag} + T_{dec} + T_{rd} + T_{out}) \\ + \# wr_{hit} \cdot (T_{tag} + T_{dec} + T_{wr}) \\ + \# rd_{miss} \cdot T_{tag} \\ + \# wr_{miss} \cdot (T_{tag} + T_{tag} + T_{dec} + T_{wr}) \} \cdot \frac{1}{1 - \% refresh}$$
(4.4)

$$T_{memory} = (\#rd_{miss} + \#wr_{miss}) \cdot T_{mem} \tag{4.5}$$

Here rd_{hit} , rd_{miss} , wr_{hit} , and wr_{miss} refer to read hit, read miss, write hit, and write miss; whereas T_{tag} , T_{dec} , T_{rd} , T_{wr} , T_{out} , and T_{mem} refer to the time each stage (i.e., tag, dec, rd, wr, out, and mem stage) requires. Additionally, in Equation 4.4, we assume normal accesses will be blocked when the cache is under refresh. This only applies when the cache requires refresh operations, e.g., an eDRAM-based cache.

The model more or less represents the worst case because there is no notion of parallelism in the model. For instance, if we consider out-of-order accesses or the effect of using write buffers, AMAL is likely to become shorter. However, the purpose of this model is to serve as a first-order approximation such that designers can make quick comparisons.

4.2.2 LLC Energy Consumption

We use energy per instruction (EPI) as the metric to compare LLC energy consumption. As opposed to the energy required to execute a single instruction, our definition of EPI is the average amount of energy expended per instruction. This definition is similar to the EPI representation described in Shao and Brooks [67]. EPI can be expressed as

$$EPI = \frac{E_{total}}{\#instructions} \tag{4.6}$$

where the total energy (E_{total}) can be broken down into the active portion (E_{active}) and the standby portion $(E_{standby})$, i.e.,

$$E_{total} = E_{active} + E_{standby} \tag{4.7}$$

Since we are considering a sequentially accessed LLC, E_{active} is approximated as

$$E_{active} = \# rd_{hit} \cdot E_{rd} + (\# wr_{hit} + \# rd_{miss} + \# wr_{miss}) \cdot E_{wr}$$

$$(4.8)$$

where E_{rd} and E_{wr} represent the energy consumption of read and write operations. Note that when a read/write misses, the LLC would request an update from the main memory. Consequently the main memory would insert a new line to the LLC, resulting in an LLC write.

The standby energy is given by

$$E_{standby} = T_{system} \cdot (P_{leak} + P_{refresh}) \tag{4.9}$$

where T_{system} stands for the system execution time, and P_{leak} , $P_{refresh}$ stand for leakage and refresh power, respectively.

In order to express EPI in terms of *CPI* (cycles per instruction) and *API* (LLC accesses per instruction), we further derive Equation 4.6 as follows:

$$EPI = \frac{E_{active}}{\#instructions} + \frac{E_{standby}}{\#instructions}$$
(4.10)

$$= \frac{API}{\#accesses} \cdot E_{active} + CPI \cdot T_{cycle} \cdot (P_{leak} + P_{refresh})$$
(4.11)

where T_{cycle} is the time period per clock cycle. Because CPI and API are direct indicators of how intensive an LLC is accessed when executing a workload, expressing EPI in terms of CPI and API allows us to relate energy usage to workload behaviors more easily.

4.3 Sensitivity Analysis

In this section, we perform sensitivity studies that compare caches built with SRAM, STT-RAM, and eDRAM. Specifically, we are interested in the AMAL and EPI of different technologies, under various LLC read-write ratios, miss ratios, CPIs, and APIs. We assume both the read miss ratio and the write miss ratio are the same as the total miss ratio. Table 4.1 shows the memory timing and energy properties used in the experiments.

	SRAM	STT-RAM	eDRAM
$\overline{T_{tag}}$ (cycle)	3	3	3
T_{dec} (cycle)	3	1	2
T_{rd} (cycle)	1	3	2
T_{wr} (cycle)	1	12	2
T_{out} (cycle)	2	1	2
T_{mem} (cycle)	100	100	100
% Ref	0%	0%	10%
$\overline{E_{rd}}$ (nJ)	2	1	2
E_{wr} (nJ)	2	45	2
P_{leak} (mW)	2080	720	800
P_{ref} (mW)	0	0	600

Table 4.1: Memory properties used in the sensitivity studies.

4.3.1 Average Memory Access Latency

Figure 4.2 compares the AMAL when using various memory technologies, under different read-write ratios and miss ratios. We summarize the results as follows:

- STT-RAM has shorter read latency but longer write latency, compared to SRAM and eDRAM. Therefore, when most of the accesses are reads and when the miss ratio is low, STT-RAM exhibits shorter AMAL. On the other hand, STT-RAM shows longer AMAL when there are more writes from the CPU side or more updates from the main memory.
- The AMALs of SRAM and eDRAM decrease with increasing write ratio. This is because the write latency is shorter than the read latency (i.e., the output stage is skipped for writes). On the contrary, STT-RAM's write latency is longer than its read latency due to its high T_{wr} . Thus, if the miss ratio is

low, AMAL increases with increasing write ratio. If the miss ratio is high, STT-RAM's shorter read latency produces little benefit because a read miss requires a write operation (an insertion) from the main memory.

• When the miss ratio increases, T_{mem} becomes the dominating factor.



Figure 4.2: AMAL with respect to (i) various memory technologies; (ii) various read-write ratios; (iii) various miss ratios. Note that the latency range (Y-axis) in each of the figures is different.

4.3.2 Energy per Instruction

In addition to read-write ratio and miss ratio, CPI and API are two key factors that affect the EPI of an LLC. We compare EPI with respect to different memory technologies, read-write ratios, miss ratios, APIs, and CPIs, as illustrated in Figure 4.3, 4.4, 4.5, 4.6, and 4.7. The results are summarized as follows:

- Though eDRAM consumes refresh power, due to its lower leakage compared to SRAM, the EPI of the eDRAM LLC is consistently lower than that of the SRAM LLC.
- A high write ratio often results in higher STT-RAM EPI when compared to the EPIs of SRAM and eDRAM. For instance, when the CPI is 1 (Figure 4.5),

API is 0.05, and the miss ratio is 0%, STT-RAM has 58% lower EPI than SRAM if the write ratio is 0 (i.e., all reads), but consumes 109% more EPI if the write ratio is 1 (i.e., all writes). The write ratio crossing point in this example is 0.37.

- A high miss ratio is also likely to result in higher STT-RAM EPI. Also considering the case where CPI is 1, API is 0.05, if all the requests are reads, STT-RAM has smaller EPI compared to SRAM if the miss ratio is 25% or lower. However, if the miss ratio is 50% or higher, STT-RAM consumes more EPI.
- When the LLC accesses per cycle is low, STT-RAM in general dissipates the lowest power. Note that the LLC accesses per cycle can be expressed in terms of <u>API</u>. Using an extreme example, when CPI is 10 and API is 0.01, STT-RAM is at all times more energy-efficient than SRAM and eDRAM.

4.4 Summary

This chapter presents simplistic analytical models for comparing the AMAL and the EPI of LLCs built with different memory technologies. The models provide a first-order design guideline, which enable cache designers to quickly evaluate different technologies. Moreover, based on the models, we conduct sensitivity analysis and show that read-write ratio, miss ratio, API, and CPI are all important factors that determine the usefulness of each memory technology.



Figure 4.3: EPI (CPI = 0.1) with respect to (i) various memory technologies; (ii) various read-write ratios; (iii) various miss ratios; (iv) various API. Note that the latency range (Y-axis) in each of the figures is different.



Figure 4.4: EPI (CPI = 0.5) with respect to (i) various memory technologies; (ii) various read-write ratios; (iii) various miss ratios; (iv) various API. Note that the latency range (Y-axis) in each of the figures is different.



Figure 4.5: EPI (CPI = 1) with respect to (i) various memory technologies; (ii) various read-write ratios; (iii) various miss ratios; (iv) various API. Note that the latency range (Y-axis) in each of the figures is different.



Figure 4.6: EPI (CPI = 2) with respect to (i) various memory technologies; (ii) various read-write ratios; (iii) various miss ratios; (iv) various API. Note that the latency range (Y-axis) in each of the figures is different.



Figure 4.7: EPI (CPI = 10) with respect to (i) various memory technologies; (ii) various read-write ratios; (iii) various miss ratios; (iv) various API. Note that the latency range (Y-axis) in each of the figures is different.

Chapter 5

Low Power Techniques for Caches

In order to make useful comparisons between energy-efficient L^3Cs built with different technologies, we propose to integrate low power techniques either at the device, circuit level, or at the architecture level. This chapter presents the following:

- We review and summarize low power techniques for SRAM caches.
- We review summarize optimization techniques for improving STT-RAM energyefficiency.
- We discuss the impact of refresh on eDRAM LLC power consumption, with respect to cache size, technology node, process variations, and temperature.
- We classify eDRAM refresh-reduction schemes into two categories and show that the use of dead-line prediction effectively eliminates unnecessary refreshes.

5.1 Low Power Techniques for SRAM Caches

As CMOS technology scales down to the sub-micron/nanometer region, leakage becomes the dominant component of power dissipation. Many low power techniques have been proposed to reduce the leakage power of SRAM caches. We review the commonly known methods as follows:

5.1.1 Device and Circuit Techniques

Leakage reduction circuit techniques either attempt to decrease the supply voltage (VDD) or to increase the threshold voltage (VTH). For instance, one of the most widely used low power method is to equip SRAMs with sleep mode capability by applying *power gating* [68]. Power gating not only decreases the cross voltage of SRAM cells, but also increases the VTH of the pull-down/pull-up transistors. Another popular method is assigning different VTH to each transistor. For instance, Amelifard et al. [69] applied different VTH and TOX (oxide thickness) for each SRAM cell to reduce leakage without degrading the performance. Dynamic VTH control via body biasing also reduces leakage effectively. For instance, Kim et al. [70] presented a low leakage SRAM cache using forward body biasing.

The most effective power reduction technique is *voltage scaling*. Applying a lower supply voltage reduces both the active power and the standby power. For instance, dynamic voltage scaling reduces leakage power with minimal performance overhead. Another example is *near-threshold computing*, which refers to operating the circuits at ultra-low voltage (VDD close to VTH). Near-threshold computing reduces energy on the order of 10X but introduces degraded performance and increased functional failure [71]. For example, Pawloski et al. [72] and Fick et al. [73] demonstrate near-threshold processors that have extremely low energy consumption.

5.1.2 Architecture Techniques

Architecture techniques for leakage power reduction attempt to identify the unused parts of the cache and turn off these parts or put them in low power mode. Albonesi [74] proposed *selective cache ways*, which disables a subset of the ways in a set associative cache when less cache capacity is needed. Kaxiras et al. [75] proposed *cache decay*, which uses a time-based dead-line prediction mechanism to turn off cache lines that hold data not likely to be reused. Flautner et al. [76] proposed *drowsy cache*, which periodically put cache lines into a low power yet state-preserving mode. They show significant leakage power reduction with negligible performance loss.

5.2 Low Power Techniques for STT-RAM Caches

Due to the scalability of STT-RAM, it is possible to implement LLCs with higher density, although unlike SRAM or eDRAM, STT-RAM is still under development. Since the STT-RAM write operation requires high energy, most low power techniques for STT-RAM caches focus on write energy reduction. We review several methods as follows:

5.2.1 Device and Circuit Techniques

Many studies focused on optimizing the STT-RAM cell to minimize the write latency and the write energy. For instance, Xu et al. [60] showed that one can sacrifice read latency for better write latency by tuning the access transistor. They further proposed the *dual-write speed* method that reduces STT-RAM cache energy consumption. Smullen et al. [21] proposed device optimization methods to *relax* the MTJ non-volatility for shorter write time and lower write current. In other words, they proposed a STT-RAM cache that requires low write energy but needs to be refreshed (scrubbed) periodically. Nigam et al. [77] proposed using low saturation magnetization ferromagnetic material to reduce the write energy. Additionally, Zhou et al. [78] proposed a circuit technique, the *early write termination* scheme, that reduces the number of write operations.

5.2.2 Architecture Techniques

Since SRAM has low write power while STT-RAM has negligible leakage, researchers have suggested building hybrid caches that have the SRAM low write power and the STT-RAM low leakage properties. Specifically, Wu et al. [79] proposed hybrid 2D and 3D cache implementations based on SRAM, eDRAM, STT-RAM and PCM. Jadidi et al. [80] proposed a hybrid SRAM, STT-RAM cache with write intensive block detection that remaps cache lines to optimize power consumption. Chen et al. [81] proposed a hybrid cache with low power modes that is dynamically reconfigured to enable better power efficiency.

Based on relaxed non-volatility STT-RAMs, Sun et al. [82] proposed building STT-RAM caches that have multiple retention level. They also proposed a refresh scheme and a data migration scheme that optimize the power and performance of the entire CPU cache hierarchy. Jog et al. [23] proposed *cache revive*, which uses a simple yet efficient refresh scheme for volatile STT-RAM-based caches. It should
be noted that the retention failure in STT-RAM is a stochastic process [24]. This means unlike DRAM, where its capacitor gradually loses electrical charge, an STT-RAM bit-flip happens instantaneously. Consequently, using DRAM-style refresh will not provide any reliability for STT-RAM, as it will only read and write back erroneous value.

5.3 Low Power Techniques for eDRAM Caches

We start this section by highlighting the impact of refresh on eDRAM L³C energy consumption. We then review refresh management techniques. Finally, we present a refresh reduction technique based on a practical, low-cost dynamic deadline prediction scheme. We use MARSS [15], and workloads from PARSEC [16] and NPB [17] to conduct the experiments (see Chapter 6 for detail). The eDRAM power and performance parameters are based on the gain cell eDRAM CACTI results presented in Chapter 3.

5.3.1 The Impact of Refresh

• Cache size. The dynamic energy, leakage power, and the refresh power of an LLC increase with increasing cache size. In particular, since the retention time is independent of the cache organization, more refresh operations are required for a higher capacity cache within the same refresh period. As a result, as the number of cache lines increases, refresh power becomes the primary source of LLC power dissipation. Our results indicate that on average, refresh contributes to 31% of the power dissipation for a 16MB LLC, whereas for a 64MB LLC, the percentage refresh power increases to 52%.

- Technology scaling. As technology scales down, caches become smaller, faster, and consume less active energy. For instance, a 32nm cache is around 50% smaller than a 45nm cache, while a 22nm cache is also around 50% smaller than a 32nm cache. However, both subthreshold and gate leakages increase significantly with decreasing feature size. The increasing leakage coupled with smaller cell storage capacitance results in shorter retention time. Correspondingly, the LLC leakage and refresh power become worse in smaller technologies. Based on our simulation results, as the technology node decreases from 45nm to 22nm, the percentage refresh power relative to the total LLC power increases from 33% to 48%.
- Process variations. Process variation (PV) affects the retention time of a DRAM cell, whereas the refresh rate is determined by the weakest cells (i.e., cells that have the shortest data-retention time). For instance, when PV is small ($\sigma_{VTH}/\mu_{VTH} = 10\%$, $\sigma_{TOX}/\mu_{TOX} = 5\%$), the retention time is around 40us; when PV is medium ($\sigma_{VTH}/\mu_{VTH} = 14\%$, $\sigma_{TOX}/\mu_{TOX} = 7\%$), the retention time decreases to 20us; finally, when PV is large (σ_{VTH}/μ_{VTH} = 10%, $\sigma_{TOX}/\mu_{TOX} = 9\%$), the retention time becomes 10us. Therefore, as PV becomes more severe, a faster refresh rate is required, resulting in higher refresh power. For example, an eDRAM cache under medium PV and large PV dissipates 2X and 4X higher refresh power, respectively, when compared to an eDRAM under small PV.

• Temperature. High temperature results in higher leakage, and therefore shorter eDRAM data-retention time. For instance, at 75°C, the retention time of our eDRAM model is 20us, whereas at 95°C, the retention time decreases to 18us. Subsequently, at 95°C the eDRAM cache requires 11.5% faster refresh rate, which also results in 11.5% higher refresh power.

5.3.2 Refresh Management

Refresh is required for eDRAM-based caches. Unfortunately, this creates negative impacts on both performance and power. For instance, the cache bandwidth is degraded by refresh activity because normal cache accesses are stalled while the cache is being refreshed. This problem can be alleviated by organizing a cache into multiple sub-banks, allowing refresh operations and normal cache accesses to happen concurrently [83].

There are several methods to mitigate refresh penalties. They can be classified into two categories:

1. Reducing the refresh rate by exploiting process and temperature variations. Process and temperature variations affect the retention time of a DRAM cell. Traditionally, the refresh rate is determined by the weakest DRAM cells, i.e., those cells that have the shortest data-retention time. However, conservatively performing refresh operations based on the shortest retention time introduces significant refresh overhead. One promising approach for reducing refresh is to utilize retention-time variation and to decrease the refresh rates for blocks or rows that exhibit longer retention time [84–87]. This approach requires an initial time period to characterize the retention time of each individual memory block and store the retention time information in a table.

Another promising approach is to utilize error-correcting codes (ECC) to dynamically detect and correct bits that fail [88–90]. This approach reduces the refresh rate by disassociating failure rate from single effects of the weakest cells.

2. Reducing the number of refresh operations by exploiting memoryaccess behaviors. Reohr [91] presents several approaches for refreshing eDRAM-based caches, including periodic refresh, line-level refresh based on time stamps, and no-refresh. For instance, Liang et al. [92] showed that by adopting the line-level refresh or the no-refresh approaches with intelligent cache-replacement policies, 3T1D (three transistors one diode) eDRAM becomes a potential substitute for SRAM in the context of L1 data caches.

The periodic refresh policy does a sweep of the cache such that all cache lines are refreshed periodically, similar to the refresh mechanism used in regular DRAM main memories. It introduces the least logic and storage overhead but provides no opportunity to reduce the number of refresh operations.

The line-level refresh policy utilizes line-level counters to track the refresh status of each cache line. This policy is analogous to *Smart Refresh* [93], a refresh-management framework for DRAM main memories. When a line is refreshed, its counter resets to zero. There are two types of refreshes: the *implicit* refresh and the *explicit* refresh. An implicit refresh happens when the line is read, written, or loaded; an explicit refresh happens when the linelevel counter signals a refresh to the data array. Therefore, if two accesses to the same cache line occur within a refresh period, the cache line is implicitly refreshed and no explicit refresh is needed.

The no-refresh policy never refreshes the cache lines. Similar to the line-level refresh implementation, each cache line has a counter that tracks the time after an implicit refresh. When the counter reaches the retention time, the line is marked as invalid. As a result, the no-refresh policy removes refresh power completely but potentially introduces more cache misses.

Our refresh reduction method falls into the second category: we attempt to identify dead lines using a low-cost dynamic dead-line prediction scheme and, thereby, to eliminate refreshes to these lines. To our knowledge, this is the first work that uses dead-line prediction to reduce the refresh power of eDRAM-based caches. Our design can also be applied on top of variable retention time architectures or error-correcting systems.

5.3.3 Dead-Line Prediction vs. Refresh

Refresh is the main source of eDRAM-based L³C power dissipation [89]. Previous studies have shown the effectiveness of using dead-line prediction to reduce the leakage power of SRAM-based L1 or L2 caches. However, to the best of our knowledge, no previous study has demonstrated dead-line prediction in the context of eDRAM caches. This work proposes a refresh-reduction method for eDRAM L³Cs using a low-cost dynamic dead-line prediction scheme. If a cache line is predicted dead, refresh to the line is skipped, thereby minimizing refresh energy. Additionally, using dead-line prediction to reduce standby power is a more natural fit for eDRAM than SRAM. For instance, unlike SRAM-based cache lines, re-enabling eDRAMbased lines does not require wake-up time. Moreover, since hardware such as a ring oscillator and refresh pulse generator are already part of the eDRAM refresh controller, we can reuse them to support time-based dead-line predictors.

To demonstrate the potential refresh power savings that one can achieve by eliminating refreshes to dead lines, we characterize the average dead time of a cache line in a 32MB LLC. Based on the workloads considered, on average a cache line is dead 59% of the time, indicating that significant refresh power can be saved without degrading performance.

5.3.3.1 Cache Time Durations

Dead-line prediction can be used to improve cache hit rate [94] or to reduce cache standby power [75]. It uses the concept of *cache-time durations*, which are best expressed using the generational behavior of cache lines. Each generation begins with a data insertion and ends with data eviction. A cache-line generation is partitioned into two parts. The first part, *live time*, is the time where the line is actively accessed. The second part, *dead time*, is the time where the line is awaiting eviction. Additionally, the *access interval* is the time between two successful line references, while the *reload interval* is the time between two generations of the same line. An example of the generational behavior of a cache line is depicted in Figure 5.1.



Figure 5.1: Generational behavior of a cache line. The generation of (A) begins when it is loaded and ends when it is evicted.

5.3.3.2 Dead Time Characterization

In this section, we characterize the average dead time ratio of each workload using MARSS [15], a cycle-accurate full-system simulator (see Chapter 6 for more detail). The results are shown in Figure 5.2 and Figure 5.3. *Dead time ratio* is defined as the ratio of the average dead time to the system execution time, i.e.,

Dead time ratio =
$$\frac{\text{Average dead time}}{\text{System execution time}}$$
 (5.1)

It is mainly affected by two factors:

• Memory footprint. If the memory footprint is smaller than the LLC size, a portion of the LLC lines is unused, which means that these unused lines are always dead. The memory footprint of each workload and input set is presented in Appendix A. • LLC miss ratio or MPKI. If the LLC frequently misses, cache lines are often awaiting for eviction, which means that they have long dead times. The LLC miss ratio and MPKI (misses per kilo instruction) of each workload and input set is presented in Appendix A.

5.3.3.3 Dead-Line Prediction

There are several state-of-the-art approaches that use dead-line prediction to save the leakage power of L1 or L2 SRAM caches. For instance, Kaxiras et al. [75] proposed two methods to implement time-based leakage control (Cache Decay). The first method uses a fixed decay interval, which only requires two extra bits for each cache line to track the decay interval. The *decay interval* is the elapsed time in which a cache line has not been accessed. However, since different applications may have different decay intervals, this method does not always result in optimal standby power reduction. The second method improves upon the first one by adaptively finding the optimal decay interval for each of the cache lines. It requires six extra bits for each line: two bits used as a per-line counter to identify the best decay interval, and four bits to represent the length of the interval.

One downside is that using counters to forecast decay intervals potentially results in more false predictions [95]. For example, if the period between two consecutive hits is longer than the counters threshold, dead-line prediction is falsely considered successful. Consequently, instead of prolonging the decay interval to correct the false prediction, the interval is decreased, making the next prediction also possibly incorrect.







Figure 5.2: Average dead time ratio vs. LLC size. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.









Figure 5.3: Average dead time ratio vs. LLC size. (a) NPB class A. (b) NPB class B. (c) NPB class C.

Zhou et al. [96] proposed *Adaptive Mode Control*, in which a global register indicates the optimal decay interval for the entire cache. It introduces only a small storage and power overhead, but it also results in non-optimal cache performance and power because not every cache line has the same decay interval.

Abella et al. [95] proposed *IATAC*, a smart predictor to turn off L2 cache lines, which uses global predictors in addition to local predictors to improve the prediction accuracy. However, this scheme requires non-negligible overhead, making it less practical for large caches. For example, a 32MB cache requires on the order of 10% storage overhead.

In addition to time-based dead-line predictors, Lai et al. [97] proposed a tracebased dead-line predictor for L1 data caches, Khan et al. [98] proposed sampling dead-line prediction for LLCs. They demonstrate that by using dead-line prediction, prefetching can be performed more effectively, hence improving cache hit ratio.

Though a number of dead-line predictors are applicable to $eDRAM L^3C$ refresh reduction, our design inherits the concept from time-based dead-line predictors. It is easy to implement and introduces insignificant logic and storage overhead. We show that the proposed implementation effectively reduces $eDRAM L^3C$ refresh power.

5.3.3.4 Proposed Implementation

Figure 5.4 shows the proposed eDRAM cache architecture with dynamic deadline prediction. It consists of the eDRAM refresh manager, the dynamic dead-line prediction utility, the SRAM tag array, the eDRAM data array, and other logic and storage necessary for caches. The temperature sensor determines the frequency of the ring oscillator: higher temperature normally results in higher frequency. This frequency then determines the rate of the refresh pulse. Additionally, each line has its time-based dead-line predictor and disable bit. The disable bit is an indicator of whether the eDRAM line holds valid data or stale data. For instance, if a line is marked as dead, its content becomes stale after a retention time period has elapsed because no refreshes were applied. Each set also has a prediction indicator, which dynamically controls the dead-line predictors based on the history of prediction.



Figure 5.4: Proposed eDRAM cache architecture with dynamic dead-line prediction.

Under normal conditions, an eDRAM cache line is periodically refreshed. However, if the associated dead-line predictor turns off the line, any refresh signal to the line is bypassed, disabling refresh. Figure 5.5(a) illustrates the state machine of the dynamic prediction indicator. A false prediction (F) indicates that the previous designated decay interval was too short, and a longer interval should be utilized instead to avoid unwanted cache misses. On the other hand, a true prediction (T) indicates that a reasonable decay interval has been reached. Finally, if many false predictions are detected, the prediction indicator switches off the dead-line predictors to prevent more undesired cache misses.



Figure 5.5: Proposed dynamic dead-line prediction implementation. It is comprised of the dynamic prediction indicator and the dead-line predictor. The dynamic prediction indicator determines the decay interval of the dead-line predictor. (a) State machine of the dynamic prediction indicator. When the indicator enters state I6, the associated dead-line predictors are turned off to prevent more undesired cache misses. (b) State machine of the dead-line predictor. In our implementation, TIME = 512 * retention_time.

Figure 5.5(b) shows the state machine of the dead-line predictor. Anytime a line hit or a line insertion happens, the cache line returns to the S0 state, indicating that the line is alive. When a predetermined time period (*TIME*) has elapsed,

the line transitions to one of the $S1, S3 \sim S7$ states, depending on the dynamic prediction indicator. For example, if the dynamic prediction indicator is in the I1 state, then the dead-line predictor will transition from S0 to S3 after TIMEhas elapsed. After another TIME duration, the dead-line predictor will enter S1, meaning that the line is predicted as dead. In other words, the line is predicted as dead if it has not been accessed for two TIME durations. Additionally, since no refresh is applied to a dead line, the eDRAM cache line loses its content when its retention time expires. In this scenario, the line is written back to the main memory if it is dirty. The state of the dead-line predictor also transitions from S1 to S2. S2 represents a disabled line, meaning that any access to the line results in a cache miss.

The proposed dynamic dead-line prediction scheme requires four additional bits per cache line (one disable bit, three predictor bits), and three additional indicator bits per cache set. For a 32MB, 16-way cache that uses 64-byte blocks, the area overhead of the logic and storage is less than 5%, and the power overhead is less than 2%. We consider these overheads to be reasonable tradeoffs.

5.3.3.5 Refresh Algorithm Evaluation

We compare the system execution time and eDRAM LLC energy breakdown when using various refresh algorithms, including periodic refresh, line-level refresh (Smart Refresh), no-refresh, and the proposed refresh mechanism based on deadline prediction. The results are presented in Figure 5.7, 5.8, 5.9, and 5.10. We summarize the results as follows:

- In contrast to utilizing line-level refresh for L1 caches or Smart Refresh for commodity DRAM main memories, applying line-level refresh to LLCs results in slightly higher energy usage compared to the baseline periodic refresh. This is because line-level refresh only improves refresh under the condition that the retention time of each line is much longer than the line access interval. However, the retention time is oftentimes shorter than the line access interval, making the line-level refresh policy unlikely to reduce the number of refresh operations. Line-level refresh also shortens the refresh period to accommodate the worst-case scenario in which all lines in a subarray reach the refresh threshold simultaneously. As a result, since the LLC is not as intensively accessed as the L1 caches, and the data-retention time of eDRAMs is much shorter than the retention time of commodity DRAMs, line-level refresh introduces no benefit to LLC refresh reduction.
- Similar to line-level refresh, no-refresh has little opportunity to take advantage of implicit refresh. Consequently, most cache lines become invalid before they are re-referenced. Therefore, although no-refresh results in the least LLC energy consumption, it degrades the system performance significantly (19% on average). It also results in more system energy consumption due to longer execution time and higher main memory activity for servicing the additional cache misses.
- Our proposed refresh scheme reduces refresh power significantly for most of the workloads considered. On average, the proposed scheme reduces the refresh

energy by 42% and reduces the LLC energy by 18%, with 1.1% longer execution time compared to periodic refresh. Figure 5.6 summarizes the average system performance and LLC energy of each refresh algorithm.



Figure 5.6: Pareto frontier analysis of different refresh algorithms.

5.4 Summary

This chapter summarizes low power techniques for SRAM, STT-RAM and eDRAM caches. In particular, we illustrate the significance of refresh on eDRAM $L^{3}C$ energy consumption, and categorize eDRAM refresh-reduction schemes. At the end of this chapter, we demonstrate a refresh reduction technique based on a practical low-cost dynamic dead-line prediction scheme. The refresh reduction technique is compared against prior arts, and is shown to reduce eDRAM LLC refresh energy by 42% with minimal performance and area overhead.



Figure 5.7: Performance evaluation for different refresh algorithms. (a) PARSEC *simsmall.* (b) PARSEC *simmedium.* (c) PARSEC *simlarge.* The proposed refresh algorithm introduces negligible performance overhead.



Figure 5.8: Performance evaluation for different refresh algorithms. (a) NPB *class* A. (b) NPB *class B.* (c) NPB *class C.*

(c)



Figure 5.9: Energy evaluation for different refresh algorithms. (a) PARSEC simsmall. (b) PARSEC simmedium. (c) PARSEC simlarge. The proposed refresh algorithm effectively reduces the refresh energy and the LLC energy.



Figure 5.10: Energy evaluation for different refresh algorithms. (a) NPB class A. (b) NPB class B. (c) NPB class C.

Chapter 6

Technology Comparison for Large Last-Level Caches Based on Full-System Simulation

In this chapter, we evaluate L³Cs built with SRAM, STT-RAM, and eDRAM. The evaluation includes the study of system performance, LLC energy breakdown (where the length of execution time plays a role), memory hierarchy energy breakdown, and die cost. We also explore the impact of LLC size, technology scaling, processor frequency, and temperature.

6.1 Methodology

6.1.1 Low Power L³C Implementations

Before comparing the three technologies, we optimize each for improved energy consumption. The low power techniques that we utilize are described as follows.

6.1.1.1 SRAM L³C

The low power SRAM L³C is optimized for leakage power at various levels. At the device level, we use a low-leakage CMOS process to implement the SRAM cells. At the circuit level, we apply power gating at the line granularity. Finally, we use the proposed dynamic dead-line prediction at the architecture level: a cache line is put into sleep mode (low power mode) via power gating if it is predicted dead. Note that it is also possible to reduce leakage by either reducing VDD or increasing VTH. However, reducing VDD usually results in higher failure rate [99], and based on our circuit simulations, increasing VTH has very little effect on low-leakage transistors.

The tradeoffs associated with applying the low leakage techniques are as follows: (i) using low-leakage CMOS degrades SRAM access performance; and (ii) a wake-up period is required when switching a cache line from sleep mode back to normal mode. To sum up, the low power SRAM implementation reduces leakage power, but introduces performance overhead.

6.1.1.2 STT-RAM L³C

The low power STT-RAM L³C is optimized for write energy using the STT-RAM device optimization methodology presented in [21]. As described in Chapter 5, we can reduce the write energy by sacrificing the STT-RAM's data-retention time. Based on the average live time of a cache line in a 32MB LLC, we set the STT-RAM retention time to 1 second and further reduce the write energy according to this target retention time [23]. We did not consider STT-RAM L³Cs that further reduces retention time to obtain even lower write energy consumption, because these STT-RAMs require additional buffers [23] and scrubbing mechanisms to retain cache reliability. In short, the low power STT-RAM implementation reduces write time and write energy, but also decreases reliability.

6.1.1.3 eDRAM L³C

The low power eDRAM L³C is optimized for refresh power using our proposed refresh reduction method: if a cache line is predicted dead, its refresh signals are skipped to save refresh power, as described in Chapter 5. In sum, the low power eDRAM implementation reduces refresh power, but potentially degrades system performance.

6.1.2 Baseline Configuration

Our study uses MARSS [15], a full-system simulator of multi-core x86 CPUs. MARSS is based on QEMU [100], a dynamic binary-translation system for emulating processor architectures, and PTLsim [101], a cycle-accurate x86 microarchitecture simulator. QEMU also emulates IO devices and chipsets, allowing it to boot unmodified operating systems (e.g., Linux). When simulating a program, MARSS switches from emulation mode to detailed simulation mode once the region of interest is reached.

We integrate a refresh controller into MARSS and augment the cache models with the necessary counters and statistical utilities to support the low power techniques described in Chapter 5. In addition to the parameterized cache access time, we expand MARSS with parameterized cache cycle time and refresh period. We also modify MARSS to support asymmetric cache read, write, and tag latencies. This property is required to evaluate STT-RAM caches accurately. A summary of the simulation infrastructure can be found in Stevens et al. [102]. The baseline configuration is an 8-core, out-of-order system that operates at 2GHz, with L1 and L2 private caches using the MESI coherence protocol, and a 32MB shared last-level L3 cache. The L1 caches are implemented using multi-port (2-read/2-write) high performance SRAMs, while the L2 caches are built with single-port high performance SRAMs. A pseudo-LRU replacement policy [103] is used for the caches. Note that cache prefetching is not enabled in the simulator. Also note that the queue that contains pending requests for the L3 cache has 128 entries. A new request will only be taken if there is an empty entry in the queue; the L3 cache will respond to the requests as soon as possible.

Additionally, DRAMSim2 [104], a cycle-accurate DRAM simulator, is utilized for the main memory model, integrated with MARSS. The 8GB main memory is configured as 1 channel, 4 ranks per channel, and 8 banks per rank, using Micron's DDR3 2Gb device parameters. Table 6.1 summarizes our system configuration.

Table 6.1: Baseline system configuration.

Processor	8-core, 2 GHz, out-of-order, 4-wide issue width
L1I (private)	32 KB, 8-way set associative, 64 B line size, 1 bank, MESI cache
L1D (private)	32 KB, 8-way set associative, 64 B line size, 1 bank, MESI cache
L2 (private)	256 KB, 8-way set associative, 64 B line size, 1 bank, MESI cache
L3 (shared)	32 MB, 16-way set associative, 64 B line size, 16 banks, write-back cache
Main memory	8 GB, 1 channel, 4 ranks/channel, 8 banks/rank

The power and performance parameters for the caches are extracted from our enhanced CACTI model (Chapter 3). We also use HSPICE simulation based on the PTM CMOS models to calculate the power of the additional storage and logic. As a case study, we evaluate a high-capacity gain cell eDRAM LLC against SRAM and STT-RAM equivalents (see Table 6.2). The high-capacity cache is a 32nm, 32MB, 16-way cache that is partitioned into 16 banks and uses 64-byte blocks. Additionally, the cache tag and data are sequentially accessed (i.e., data array access is skipped on a tag mismatch). By skipping the data array access on a tag mismatch, a sequentially accessed cache saves dynamic power. The cache is also pipelined such that it exhibits a reasonable cycle time.

Also in the context of the LLC, for the peripheral and global circuitry, high performance CMOS transistors are utilized. Low leakage SRAM cells are used for the data array of the SRAM LLC and the tag arrays of the SRAM, STT-RAM, eDRAM LLCs. Unlike storage-class STT-RAM implementations that have retention times more than 10 years, the STT-RAM device presented in Table 6.2 has only 1 second retention time, which requires lower write current.

	SRAM	STT-RAM	Gain cell eDRAM
Read latency	4.45 ns	3.06 ns	4.29 ns
Write latency	4.45 ns	25.45 ns	4.29 ns
Retention time	-	1 s	20 us
Read energy	2.10 nJ/access	0.94 nJ/access	1.74 nJ/access
Write energy	2.21 nJ/access	20.25 nJ/access	1.79 nJ/access
Leakage power	131.58 mW/bank	45.28 mW/bank	49.01 mW/bank
Refresh power	0 mW	0 mW	$600.41 \mathrm{mW}$
Area	$80.41 \ mm^2$	$16.39 \ mm^2$	$37.38 \ mm^2$

Table 6.2: Performance parameters of low power 32nm 32MB LLCs built with various memory technologies.

Temperature = 75° C

6.1.3 Workloads

We use multi-threaded benchmarks from the PARSEC 2.1 benchmark suite [16] and the NAS parallel benchmark suite (NPB 3.3.1) [17] to evaluate the system. The multi-threaded workloads are configured as single-process, eight-thread workloads. We use the input sets simsmall, simmedium, simlarge for the PARSEC benchmarks, and class A, class B, class C for the NPB benchmarks. A summary of the workload characteristics are presented in Table 6.3 and Table 6.4 (see Appendix A for more detail). The workload characteristics are useful for analyzing and understanding the simulation results. Note that we omit the other workloads in the benchmark suits (there are in total 13 benchmarks in PARSEC, and 11 benchmarks in NPB), because either they do not execute on the simulator, or the simulation results exhibit large variations. The characterizations are conducted base on the default baseline configuration. All workloads run on top of Ubuntu 9.04 (Linux 2.6.31), executing 2.4 billion instructions in detailed simulation mode, starting at the region of interest.

6.2 Results and Analysis

6.2.1 Low Power Implementation

6.2.1.1 System Performance

Figure 6.2 and Figure 6.3 show the normalized system execution time with respect to LLCs based on SRAM, STT-RAM, and eDRAM. For each memory technology, we include the results before power-optimization and the results after applying low power techniques. For instance, 'regular' SRAM uses high performance transistors to implement the entire cache with no power gating; 'regular' STT-RAM uses storage-class STT-RAM technology, which has long retention time but requires high write energy; and 'regular' eDRAM uses the conventional periodic refresh pol-

Table 6.3: Workload characteristics.

MPKI: misses per kilo instructions.

APKC: average LLC accesses per kilo cycles.

Benchmark suite	Benchmark	Input	LLC footprint (MB)	miss ratio	MPKI	APKC
		simsmall	6.14	10.64%	0.124	8.159
	bodytrack	$\operatorname{simmedium}$	9.33	9.33%	0.064	6.970
		simlarge	3.18	2.64%	0.022	8.538
	canneal	simsmall	26.32	7.48%	1.612	76.412
		$\operatorname{simmedium}$	53.05	10.34%	2.395	118.510
PARSEC		simlarge	102.75	23.79%	5.944	123.353
	facesim	simsmall	144.15	65.14%	1.339	43.771
		simmedium	144.81	64.96%	1.345	44.036
		simlarge	266.03	79.61%	2.461	61.436
	freqmine	simsmall	38.89	17.11%	0.280	3.142
		simmedium	74.44	44.91%	0.833	3.855
		simlarge	69.99	78.25%	0.480	1.083
PARSEC NPB		class A	43.54	21.52%	0.942	57.269
	\mathbf{bt}	class B	173.02	60.65%	5.173	101.792
		class C	686.94	75.53%	12.397	141.618
		class A	21.98	0.66%	0.150	141.314
	cg	class B	160.52	23.20%	19.744	175.489
		class C	420.64	18.92%	20.165	169.799
	ft	class A	324.11	29.56%	3.897	159.469
		class B	1287.27	50.72%	10.287	154.561
		class C	5072.41	99.74%	34.667	147.307
		class A	66.39	82.56%	7.893	108.203
	is	class B	264.76	92.54%	8.674	106.742
		class C	863.62	91.66%	8.337	106.770

Table 6.4: LLC access types breakdown.

Read: read request sent from L2.

Write: write request sent from L2 (asking L3 for a block allocation).

Update: write-back from L2 (updating L3).

Insert: insertion from the main memory.

Write-back: write-back from L3 to the main memory.

Benchmark suite	Benchmark	Input	%read	%write	%update	%insert	%write-back
		simsmall	52.47%	17.32%	22.89%	7.31%	0.00%
	bodytrack	$\operatorname{simmedium}$	48.46%	18.47%	26.74%	6.33%	0.00%
		simlarge	73.08%	7.78%	17.05%	2.10%	0.00%
	canneal	simsmall	67.05%	0.18%	27.72%	5.03%	0.01%
		simmedium	66.13%	0.10%	26.24%	6.45%	1.09%
PARSEC		simlarge	58.95%	0.07%	22.22%	12.67%	6.08%
	facesim	simsmall	8.61%	25.61%	27.80%	22.27%	15.72%
		$\operatorname{simmedium}$	8.80%	25.53%	27.72%	22.27%	15.69%
		simlarge	11.56%	21.42%	24.07%	25.57%	17.38%
	freqmine	simsmall	41.53%	21.97%	25.03%	10.81%	0.67%
		simmedium	25.80%	20.39%	23.51%	20.65%	9.66%
		simlarge	22.08%	17.09%	22.98%	30.56%	7.30%
NPB	bt	class A	57.36%	4.47%	20.08%	13.31%	4.78%
		class B	41.64%	4.41%	15.45%	27.94%	10.57%
		class C	34.58%	6.02%	16.79%	30.74%	11.86%
	cg	class A	96.89%	0.46%	2.01%	0.65%	0.00%
		class B	80.78%	0.09%	0.29%	18.63%	0.22%
		class C	83.76%	0.07%	0.22%	15.81%	0.15%
	${ m ft}$	class A	22.33%	23.16%	30.19%	13.29%	11.03%
		class B	20.33%	18.15%	26.92%	19.14%	15.45%
		class C	17.41%	11.95%	22.66%	26.76%	21.22%
	is	class A	30.61%	11.48%	13.46%	34.69%	9.76%
		class B	30.15%	10.93%	11.82%	37.95%	9.15%
		class C	28.04%	12.28%	12.70%	36.84%	10.14%

icy. On the other hand, low power SRAM, STT-RAM, and eDRAM LLCs represent the designs described in Chapter 5. The results are summarized as follows:

- Regular SRAM has the best system performance on average. However, since the low power implementation also use high performance transistors for the peripheral circuitry, it is only slightly slower than regular implementation.
- Low power STT-RAM performs the best for read intensive workloads (e.g., cg class A) because it has the shortest read latency and shorter write latency compared to its regular (unoptimized) counterpart. However, although low power STT-RAM has better write performance than regular STT-RAM, its write latency is still significantly longer than that of SRAM and eDRAM. Based on the workloads considered, on average regular STT-RAM and low power STT-RAM result in 8.9% and 4.3% longer system execution time compared to SRAM.

6.2.1.2 LLC Energy Breakdown

Figure 6.4 and Figure 6.5 illustrate the normalized energy breakdown of LLCs based on various memory implementations. We show the following:

• Low power LLC implementations consume much less energy compared to regular implementations. For instance, low power SRAM consumes 80% less energy than regular SRAM, and low power STT-RAM uses 45% less energy than regular STT-RAM. As a result, we show that ignoring implementation details potentially leads to wrong conclusions.

- Low power STT-RAM consumes the least energy for benchmarks that have few LLC writes (e.g., *bodytrack*, *freqmine*). However, if there are many writebacks from the L2 caches, or many write operations due to main memory fetches, STT-RAM uses the most energy. For instance, when executing the *ft* benchmark, L2 frequently performs write-back, and the main memory also frequently inserts cache lines into the L3 cache (see Appendix A for detailed workload characteristics). Consequently, the low power STT-RAM-based LLC uses more energy than the low power SRAM and eDRAM LLCs.
- For write intensive workloads, eDRAM results in the most energy-efficient LLC implementation. For workloads with low write intensity (e.g., *bodytrack*, *freqmine*), the energy consumption of eDRAM approaches that of STT-RAM when refresh reduction method is used. We show that low power eDRAM reduces the LLC energy by 36% compared to low power SRAM, and reduces the LLC energy by 28% compared to low power STT-RAM.

6.2.1.3 Pareto Frontier Analysis

We summarize Section 6.2.1 with a Pareto plot, shown in Figure 6.1. The Pareto frontier illustrates that low power eDRAM achieves the lowest LLC energy consumption, while the regular SRAM LLC results in the shortest execution time.



Figure 6.1: Pareto frontier analysis of different LLC implementations.



Figure 6.2: Normalized system execution time with respect to various memory technologies. (a) PARSEC simsmall. (b) PARSEC simmedium. (c) PARSEC simlarge.



Figure 6.3: Normalized system execution time with respect to various memory technologies. (a) NPB class A. (b) NPB class B. (c) NPB class C.



Figure 6.4: Normalized LLC energy breakdown with respect to various memory technologies. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*. Note that SRAM and STT-RAM dissipate zero refresh power.



Figure 6.5: Normalized LLC energy breakdown with respect to various memory technologies. (a) NPB class A. (b) NPB class B. (c) NPB class C.

6.2.2 LLC Size

6.2.2.1 System Performance

Figure 6.7 and Figure 6.8 show the impact on system performance when varying the LLC size. A larger cache potentially improves hit ratio, and better LLC hit ratio reduces the number of long accesses to the off-chip memory. Therefore, although a larger cache has longer cache access latency, oftentimes it improves overall system performance.

6.2.2.2 LLC Energy Breakdown

Figure 6.9 and Figure 6.10 illustrate the normalized LLC energy breakdown with respect to different LLC sizes. Key observations:

- Both dynamic and standby power increase with the size of the LLC. However, as discussed in Chapter 3, standby power (leakage and refresh) increases in proportional with capacity, whereas dynamic power grows in a much slower rate. Consequently, STT-RAM becomes relatively more energy-efficient with increasing LLC size. For instance, when comparing STT-RAM against SRAM, our results show that on average a 16MB STT-RAM uses 32% more energy than a 16MB SRAM, but for 64MB LLCs, STT-RAM consumes 23% less energy.
- Increasing the LLC size potentially results in lower cache miss ratio and thus shorter system execution time and fewer fetches from the main memory. There
are cases where a larger STT-RAM LLC consumes less energy than a smaller one. For example, since a 16MB LLC is not large enough to hold the working set of the *cg* benchmark with input size *class A*, the LLC is frequently updated by the main memory. Therefore, if the 16MB LLC is built with STT-RAM where write energy is high, the dynamic energy becomes significant due to the large number of cache insertions. This is another reason why for 16MB LLCs, STT-RAM consumes more energy than SRAM, but not the case for 32MB and 64MB LLCs.

• When compared against SRAM and STT-RAM, for 16MB LLCs, eDRAM consumes 44% and 59% less energy; for 32MB LLCs, eDRAM consumes 36% and 28% less energy; and for 64MB LLCs, eDRAM uses 27% and 4% less energy. This trend shows that the eDRAM refresh problem has become more severe as cache size increases. The refresh reduction method thus requires more optimizations to make eDRAM low power when applied to very large LLCs.

6.2.2.3 Memory Hierarchy Energy Breakdown

As shown in Figure 6.11 and Figure 6.12, a large LLC also benefits the energy consumption of the memory hierarchy, and likely the entire system. As mentioned earlier, a larger LLC has the potential to bring higher hit ratio. With higher LLC hit ratio, the followings are likely to happen: (i) shorter system execution time; (ii) fewer off-chip main memory activities. Shorter system execution time means that from the energy point of view, less standby energy is being consumed; fewer main memory activities means that the main memory uses less dynamic power, and can also stay in low power mode for a longer period of time. Subsequently, although a larger LLC dissipates higher dynamic and leakage power, it significantly reduces the energy consumption of the main memory and the total memory subsystem.

6.2.2.4 Pareto Frontier Analysis

Figure 6.6 shows Pareto frontier analysis of different LLC sizes using various memory technologies. We can see that the 64MB SRAM LLC results in the best system performance. Furthermore, when investigating system execution time versus LLC energy, the 16MB eDRAM and the 16MB SRAM LLC both lie on the Pareto frontier. In particular, the 16MB eDRAM LLC consumes the least energy. However, when exploring system execution time versus the memory hierarchy energy, all the 16MB choices are sub-optimal, and the 32MB eDRAM LLC becomes the best choice for low energy.



Figure 6.6: Pareto frontier analysis of different LLC sizes. (a) System execution time vs. LLC energy consumption. (b) System execution time vs. memory hierarchy energy consumption.



Figure 6.7: Normalized system execution time with respect to different LLC sizes. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.



Figure 6.8: Normalized system execution time with respect to different LLC sizes. (a) NPB class A. (b) NPB class B. (c) NPB class C.



Figure 6.9: Normalized LLC energy breakdown with respect to different LLC sizes. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.



Figure 6.10: Normalized LLC energy breakdown with respect to different LLC sizes. (a) NPB class A. (b) NPB class B. (c) NPB class C.



Figure 6.11: Normalized memory hierarchy energy breakdown with respect to different LLC sizes. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.



Figure 6.12: Normalized memory hierarchy energy breakdown with respect to different LLC sizes. (a) NPB class A. (b) NPB class B. (c) NPB class C.

6.2.3 Iso-Area Comparison

In addition to comparing different L³Cs that have the same capacity, we make iso-area comparison (i.e., holding the L3 cache area constant). According to our cache model, under the same area, the capacity of an SRAM cache is around $\frac{1}{2}$ of the capacity of an eDRAM cache, and $\frac{1}{4}$ of the capacity of an STT-RAM cache. We show iso-area comparison in Figure 6.13. The results presented here are averages across all workloads and inputs.



Figure 6.13: Iso-area comparison. (a) Normalized system execution time. (b) Normalized memory hierarchy energy consumption.

6.2.4 Technology Scaling

6.2.4.1 System Performance

As shown in Figure 6.15 and Figure 6.16, because we set the processor frequency to 2GHz (not high frequency), in general the LLC latency improvement resulted from a smaller technology node is not translated into better system performance. For eDRAM-based LLC however, system performance degrades slightly as technology scales down. This is due to eDRAM's shortened retention time, which negatively affects performance.

6.2.4.2 LLC Energy Breakdown

Figure 6.17 and Figure 6.18 illustrate the normalized LLC energy breakdown with respect to various technology nodes. The results are summarized as follows:

- As technology scales down, caches consume less active energy, but the leakage and refresh power both increase significantly. The relative dominance of active and standby (leakage, refresh) power is an important indicator of which memory technology is a better candidate. For instance, in the 45nm technology node, STT-RAM uses 24% and 50% more energy compared to SRAM and eDRAM, respectively. However, in the 22nm technology node, STT-RAM consumes 11% more energy than eDRAM, and consume 37% less energy than SRAM.
- It is worth noting that, though STT-RAM is projected to perform better

in the 22nm technology node, its thermal stability (reliability) also degrades significantly [105]. Researchers continue to improve the data retention and write current scaling for STT-RAM to extend its scalability [106, 107].

6.2.4.3 Pareto Frontier Analysis

Similar to the previous sections, we provide a Pareto plot (Figure 6.14) to summarize the results presented in Section 6.2.4 – the 22mm SRAM LLC provides the best system performance, while the 45nm eDRAM LLC consumes the lowest energy.



Figure 6.14: Pareto frontier analysis of various technology nodes.



Figure 6.15: Normalized system execution time with respect to various technology nodes. (a) PARSEC *simsmall.* (b) PARSEC *simmedium.* (c) PARSEC *simlarge.*



Figure 6.16: Normalized system execution time with respect to various technology nodes. (a) NPB class A. (b) NPB class B. (c) NPB class C.



Figure 6.17: Normalized LLC energy breakdown with respect to various technology nodes. (a) PARSEC *simsmall.* (b) PARSEC *simmedium.* (c) PARSEC *simlarge.*



Figure 6.18: Normalized LLC energy breakdown with respect to various technology nodes. (a) NPB class A. (b) NPB class B. (c) NPB class C.

6.2.5 Processor Frequency

We also study the impact of processor frequency. Since a high-frequency processor typically completes jobs faster than a low-frequency processor, the energy usage due to standby power is lower for the high-frequency processor. Therefore, SRAM and eDRAM appear to be more energy-efficient when running at high speed. For instance, at a 2GHz clock frequency, eDRAM uses 28% less energy than STT-RAM, whereas at a 4GHz clock frequency, the percentage of energy reduction increases to 37%. The system performance and LLC energy breakdown results are shown in Figure 6.20, 6.21, 6.22, and 6.23. A summary of the results is illustrated in Figure 6.19.



Figure 6.19: Pareto frontier analysis of different processor frequencies.



Figure 6.20: Normalized system execution time with respect to various processor frequencies. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.



Figure 6.21: Normalized system execution time with respect to various processor frequencies. (a) NPB class A. (b) NPB class B. (c) NPB class C.



Figure 6.22: Normalized LLC energy breakdown with respect to various processor frequencies. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.



Figure 6.23: Normalized LLC energy breakdown with respect to various processor frequencies. (a) NPB *class A*. (b) NPB *class B*. (c) NPB *class C*.

6.2.6 Temperature

Figure 6.24 and Figure 6.25 show the impact of temperature on LLC energy consumption. We summarize our observations as follows:

- Although high temperature negatively affects the dynamic, leakage, and refresh power, we show that standby power is more sensitive to temperature variation than dynamic power. As a result, at 95°C, the energy gap between eDRAM and STT-RAM becomes smaller.
- However, the thermal stability, and thus retention time, of STT-RAM also becomes worse when temperature increases. For instance, when increasing the temperature from 75°C to 95°C, the average STT-RAM retention time decreases from 1 second to 0.33 second. Therefore, at high temperature, STT-RAM either requires higher write energy to prolong its retention time or requires scrubbing mechanisms to detect and correct failed bits regularly.
- With our default system configuration, system performances under 75°C and 95°C are very similar. Although increasing the temperature from 75°C to 95°C degrades cache performance (i.e., longer latency and shorter retention time), the slightly degraded cache performance has little impact on the overall performance.



Figure 6.24: Normalized LLC energy breakdown with respect to different temperatures. (a) PARSEC *simsmall*. (b) PARSEC *simmedium*. (c) PARSEC *simlarge*.



Figure 6.25: Normalized LLC energy breakdown with respect to different temperatures. (a) NPB class A. (b) NPB class B. (c) NPB class C.

6.2.7 Die Cost

We estimate the die cost using

$$die_cost = \frac{wafer_cost}{\frac{wafer_area}{die area} \times yield}$$
(6.1)

where $\frac{wafer_area}{die_area}$ represents the number of dies per wafer, *yield* refers to the percentage of good dies on a wafer. We project the die area (*die_area*) based on the chip layout of Power7. We also assume STT-RAM introduces 5% more wafer cost due to additional fabrication processes. Figure 6.26 compares the die cost of an 8-core processor using either SRAM, STT-RAM, or eDRAM as its 32MB last-level L3 cache.



Figure 6.26: Estimated die cost normalized to an 8-core processor using SRAM LLC with 100% yield.

6.3 Summary

This chapter presents a comprehensive design space exploration, comparing L^3Cs built with SRAM, STT-RAM, and eDRAM. In addition to evaluating dif-

ferent memory technologies, we compare various cache implementations (regular vs. low power), LLC sizes (16MB, 32MB, 64MB), technology nodes (45nm, 32nm, 22nm), processor frequencies (2GHz, 3.33GHz, 4GHz), and temperatures (75°C and 95°C). Full-system simulation results indicate that the choice of memory technology is workload dependent, i.e., STT-RAM is the best candidate if the program introduces few write-backs from the upper-level caches and few LLC insertions from the main memory, whereas on average, eDRAM consumes the least energy if refresh is effectively controlled. Our results also suggest that STT-RAM has the most potential for energy saving in future LLC designs, but with current cache implementations and process technologies, SRAM and eDRAM provide better system performance and/or energy-efficiency.

Chapter 7

Conclusions and Future Work

7.1 Conclusions

Emerging applications (e.g., Big Data) have resulted in the increasing demand for more efficient microprocessors. To gain higher computing throughput, the trend for processor design is to integrate more cores on a single die, while allocating a larger LLC to bridge the gap between processor and memory.

In addition to performance, power has become another primary concern. High power dissipation not only limits performance improvement, but also degrades reliability and introduces high electrical cost. The general LLC design direction is thus to improve energy-efficiency without sacrificing system performance.

With these considerations in mind, this dissertation studies the performance and energy impact when applying different memory technologies and low power methods to $L^{3}Cs$. Specifically, we compare $L^{3}Cs$ based on *low-leakage SRAM*, *low write-energy STT-RAM*, and *refresh-optimized eDRAM*. Based on our cache modeling framework and an augmented full-system simulation environment, we show the following:

- SRAM is on average the fastest technology choice. This is because unlike STT-RAM, it does not have the high write latency penalty, and unlike the refresh-optimized eDRAM, the low-leakage SRAM LLC does not sacrifice hit ratio for low power. For benchmarks with many read requests, if the LLC miss ratio is low, STT-RAM performs the best. On the contrary, if there are many writes to the LLC, STT-RAM performs the worst. Finally, eDRAM performs worse than SRAM (and worse than STT-RAM in some cases) because the refresh-reduction technique introduces unnecessary LLC misses.
- Low power LLC implementations consume significantly less energy compared to regular (unoptimized) counterparts.
- STT-RAM consumes the least energy if the workload introduces few writebacks from the upper-level caches and few insertions from the main memory, whereas eDRAM is the most energy-efficient choice on average.
- STT-RAM shows more advantage with increasing LLC size. It also becomes more attractive as technology scales down. These trends show that STT-RAM is likely to be considered more in future processors. For contemporary processors, using SRAM or eDRAM provides more benefit.
- High temperature negatively affects cache performance, dynamic power, leakage, refresh power, and reliability. In particular, the retention time (also an indicator of failure rate) of STT-RAM is highly dependent on temperature, which has become one of the most important design consideration for STT-

RAM.

7.2 Summary of Contributions

We summarize the contributions of this dissertation as follows:

- This work presents an overview of various memory technologies, including SRAM, STT-RAM, and eDRAM.
- To obtain realistic and unbiased SRAM, STT-RAM, and eDRAM cache models, this work enhances CACTI with the following: (i) utilizing PTM CMOS models and conducting HSPICE circuit simulations to acquire device and circuit behaviors; (ii) making performance and dynamic power temperaturedependent; (iii) using NVSim to get STT-RAM characteristics; (iv) implement a PVT-variation dependent gain cell eDRAM cache model.
- With the enhanced cache modeling framework, this work explores various cache properties with respect to memory technology, cache size, technology scaling, and temperature.
- In order to quickly evaluate LLC performance and energy consumption when using different technologies under different scenarios, this work presents analytical models that serve as a first-order design guideline.
- This work reviews power-optimization methods for SRAM, STT-RAM, and eDRAM LLCs.

- A low-cost refresh-reduction technique based on dynamic dead-line prediction is proposed for saving eDRAM-based LLC's energy consumption. It is compared against prior arts and is demonstrated to reduce LLC energy substantially with very small performance and hardware overhead.
- Additionally, to provide representative and useful evaluations, we augment a full-system simulator with the capability to simulate different memory properties and various power-optimization schemes.
- The bulk of this dissertation shows the technology implications for L³Cs. We compare system performance, LLC energy breakdown, memory hierarchy energy breakdown, and cost with respect to different memory technologies, LLC sizes, technology nodes, processor frequencies, and temperatures. Finally, we provide insights and draw conclusions based on simulation results and work-load characteristics. A condensed version of this work has been published in Chang et al. [108].

7.3 Future Work

The scope of this dissertation can be further extended with the following possible areas:

• Multi-gate cache modeling. A multi-gate device refers to a MOSFET that has more than one gate. For instance, FinFETs [109] and tri-gate transistors [110] are both variants of multi-gate devices. As opposed to planar transistors, a multi-gate transistor has several gates surrounding the channel,

thereby suppressing the leakage current. Multiple gates also enhance the driving current. In other words, multi-gate transistors have faster performance and lower power consumption. Moreover, multi-gate devices occupy less area. They also have better scalability.

Recently, PTM has included a set of multi-gate transistor models to the library. These models include 20nm, 16nm, 14nm, 10nm, 7nm high performance and low power multi-gate transistors. They are based on BSIM-CMG, a compact model for the class of common multi-gate FETs developed by the BSIM group at UC Berkeley [111]. As a possible area for future work, we propose to integrate these models into CACTI and study L³Cs based on advanced multigate transistors.

• Standby power optimization. Even though today's processors are designed for different domains, such as embedded systems, personal computers, servers, it is difficult to optimize the LLC size for every application. An unoptimized LLC size means that the LLC is either underutilized (i.e., the LLC capacity is larger than the application's required size), or overutilized (i.e., the LLC capacity does not bring performance benefit compared to a smaller LLC). In both cases, a portion of the LLC is wasted, dissipating unnecessary standby power, especially for SRAM and eDRAM LLCs.

One solution to this problem is *Cache Resizing* [112]. In the context of cache resizing, dead-line prediction (see Chapter 5) is one method to identify unused cache lines. Despite the fact that our proposed low-cost, dynamic dead-line

prediction scheme effectively reduces refresh power, based on the limit case study, there are still opportunities for further enhancements.

• STT-RAM design tradeoffs. STT-RAM is a relatively new memory technology and there are many design tradeoffs yet to be explored. For instance, Naeimi et al. [24] discussed the tradeoff between STT-RAM thermal stability and ECC strength. Note that thermal stability is a key factor that determines the retention time and reliability of STT-RAM. One possible extension of this study is to balance write time/energy, retention time (failure rate), ECC strength, frequency of scrubbing, such that the overall energy consumption is optimized.

At the conclusion of this dissertation, we hope this work will help cache designers better understand energy-efficient L^3Cs based on different technologies and optimizations. We also hope this dissertation will serve as a useful background for future LLC research and design.

Appendix A

Workload Characteristics

In Appendix A, we first provide brief descriptions of the workloads we have considered in this dissertation, as summarized in Table A.1 [16] [17]. We then characterize each workload and present several behaviors, including LLC footprint, miss ratio, and misses per kilo-instructions (MPKI). Additionally, we show the LLC access type mixes of each workload. The access types include *read*, *write*, *update*, *insert*, and *write-back*. *Read*, *write*, and *update* are signals sent from the CPU/L1/L2, while *insert* and *write-back* are related to the communication between the LLC (L3) and the external main memory.

Read refers to a read request sent from L2, which is the result of an L2 read miss. Write refers to a write request sent from L2, which is the result of an L2 write miss. Since we consider write-allocate caches, a write request that is sent to L3 tries to bring an L3 cache line to L2, if there is a match. In other words, a write request does not attempt to write the L3, but reads from it instead. Update indicates a cache write-back from L2 to L3. We use an inclusive cache hierarchy, therefore an update always results in an L3 write operation. Furthermore, if the L3 misses, the main memory will insert the L3 with the desired cache line. If a dirty L3 cache line is evicted, it is written back (*write-back*) to the main memory.

Table A.2 and Table A.3 summarize the workload characteristics (they present the same data as Table 6.3 and Table 6.4). We also characterize the LLC access pattern of each workload, plotting the LLC access intensity against time. The LLC access patterns are shown in Figure A.1, A.2, A.3, A.4, A.5, A.6, A.7, and A.8. Each epoch corresponds to 1,000,000 CPU cycles, and each cycle is 0.5ns. Note that we simulate 2.4 billion instructions for each workload, starting from the region of interest; therefore the characterizations presented do not represent the entire program. All characterization is conducted using three levels of caches with a shared 32MB last-level L3 (see Chapter 6 for detailed system organization.).

Benchmark suite	Benchmark	Application	Description
PARSEC	bodytrack	Computer vision	Tracks a human body with multiple cameras via an image sequence.
	canneal	Engineering	Optimizes routing costs of a chip design using cache-aware annealing.
	facesim	Animation	Computes an animation of the modeled face by simulating the underlying physics.
	freqmine	Data mining	Employs an array-based version of the Frequent Pattern-growth method for Frequent Itemset Mining.
NPB	bt	High performance computing	Uses an algorithm involving Block Tridiagonal to solve a synthetic system of nonlinear partial differential equations.
	cg	High performance computing	Uses the Conjugate Gradient method as a subroutine to estimate the smallest eigenvalue of a large sparse symmetric positive-definite matrix.
	ft	High performance computing	Uses the fast Fourier Transform to solve a three-dimensional partial differential equation.
	is	High performance computing	Uses the bucket sort to conduct Interger Sort.

Table A.1: Workload descriptions.
Benchmark suite	Benchmark	Input	LLC footprint (MB)	miss ratio	MPKI	APKC
PARSEC	bodytrack	simsmall	6.14	10.64%	0.124	8.159
		simmedium	9.33	9.33%	0.064	6.970
		simlarge	3.18	2.64%	0.022	8.538
	canneal	simsmall	26.32	7.48%	1.612	76.412
		$\operatorname{simmedium}$	53.05	10.34%	2.395	118.510
		sim large	102.75	23.79%	5.944	123.353
	facesim	simsmall	144.15	65.14%	1.339	43.771
		$\operatorname{simmedium}$	144.81	64.96%	1.345	44.036
		sim large	266.03	79.61%	2.461	61.436
	freqmine	simsmall	38.89	17.11%	0.280	3.142
		simmedium	74.44	44.91%	0.833	3.855
		sim large	69.99	78.25%	0.480	1.083
NPB	bt	class A	43.54	21.52%	0.942	57.269
		class B	173.02	60.65%	5.173	101.792
		class C	686.94	75.53%	12.397	141.618
	cg	class A	21.98	0.66%	0.150	141.314
		class B	160.52	23.20%	19.744	175.489
		class C	420.64	18.92%	20.165	169.799
	ft	class A	324.11	29.56%	3.897	159.469
		class B	1287.27	50.72%	10.287	154.561
		class C	5072.41	99.74%	34.667	147.307
	is	class A	66.39	82.56%	7.893	108.203
		class B	264.76	92.54%	8.674	106.742
		class C	863.62	91.66%	8.337	106.770

Table A.2: Workload characteristics.

Benchmark suite	Benchmark	Input	%read	%write	%update	%insert	%write-back
PARSEC	bodytrack	simsmall	52.47%	17.32%	22.89%	7.31%	0.00%
		simmedium	48.46%	18.47%	26.74%	6.33%	0.00%
		simlarge	73.08%	7.78%	17.05%	2.10%	0.00%
	canneal	simsmall	67.05%	0.18%	27.72%	5.03%	0.01%
		simmedium	66.13%	0.10%	26.24%	6.45%	1.09%
		sim large	58.95%	0.07%	22.22%	12.67%	6.08%
	facesim	simsmall	8.61%	25.61%	27.80%	22.27%	15.72%
		simmedium	8.80%	25.53%	27.72%	22.27%	15.69%
		simlarge	11.56%	21.42%	24.07%	25.57%	17.38%
	freqmine	simsmall	41.53%	21.97%	25.03%	10.81%	0.67%
		simmedium	25.80%	20.39%	23.51%	20.65%	9.66%
		simlarge	22.08%	17.09%	22.98%	30.56%	7.30%
NPB	bt	class A	57.36%	4.47%	20.08%	13.31%	4.78%
		class B	41.64%	4.41%	15.45%	27.94%	10.57%
		class C	34.58%	6.02%	16.79%	30.74%	11.86%
	cg	class A	96.89%	0.46%	2.01%	0.65%	0.00%
		class B	80.78%	0.09%	0.29%	18.63%	0.22%
		class C	83.76%	0.07%	0.22%	15.81%	0.15%
	ft	class A	22.33%	23.16%	30.19%	13.29%	11.03%
		class B	20.33%	18.15%	26.92%	19.14%	15.45%
		class C	17.41%	11.95%	22.66%	26.76%	21.22%
	is	class A	30.61%	11.48%	13.46%	34.69%	9.76%
		class B	30.15%	10.93%	11.82%	37.95%	9.15%
		class C	28.04%	12.28%	12.70%	36.84%	10.14%

Table A.3: (Continued) Workload characteristics.



Figure A.1: LLC access pattern (bodytrack). (a) *Simsmall*. (b) *Simmedium*. (c) *Simlarge*.



Figure A.2: LLC access pattern (canneal). (a) *Simsmall.* (b) *Simmedium.* (c) *Simlarge.*



Figure A.3: LLC access pattern (facesim). (a) Simsmall. (b) Simmedium. (c) Simlarge.



Figure A.4: LLC access pattern (freqmine). (a) Simsmall. (b) Simmedium. (c) Simlarge.



Figure A.5: LLC access pattern (bt). (a) Class A. (b) Class B. (c) Class C.



Figure A.6: LLC access pattern (cg). (a) Class A. (b) Class B. (c) Class C.



Figure A.7: LLC access pattern (ft). (a) Class A. (b) Class B. (c) Class C.



Figure A.8: LLC access pattern (is). (a) Class A. (b) Class B. (c) Class C.

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