

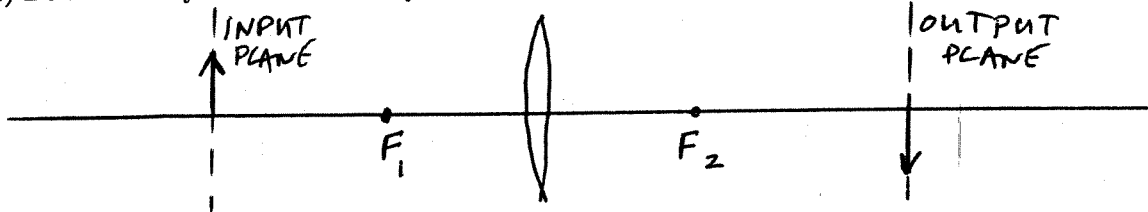
**ENEE 408E Optical System Design 2004  
Final Examination**

Monday, December 13, 2004, 8:00 - 10:00 am

**ANSWER 4 QUESTIONS**

*(if more than 4 are answered best 4 will count)*

- (1) Derive the ray transfer matrix system for the simple imaging system shown below. (2pts.)



Show that for this to be an imaging system the element  $B$  of the ray transfer matrix must be 0 (1pts.). By so doing prove the thin lens equation (2pts.)

$$\frac{1}{u} + \frac{1}{v} = \frac{1}{f}$$

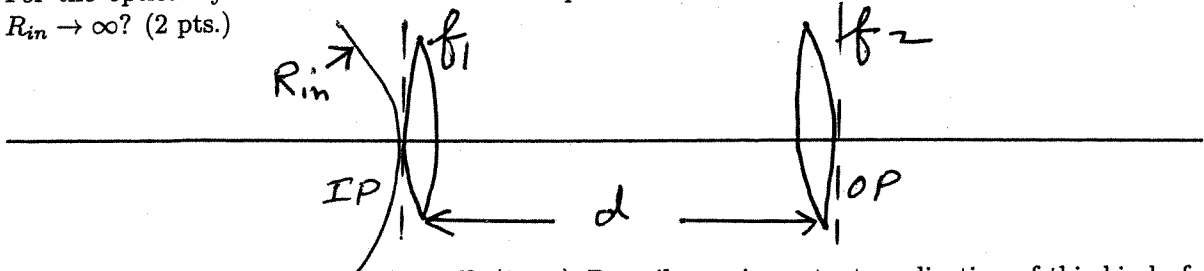
What are the linear and angular magnifications of this system. (2pts.) For the following three situations draw a ray tracing diagram showing the formation of the image and calculate  $v$ , the linear magnification  $m$ , and the angular magnification  $m'$ . (3pts.)

- (a)  $f=50\text{mm}$ ,  $u=150\text{mm}$
- (b)  $f=-50\text{mm}$ ,  $u=150\text{mm}$
- (c)  $f=50\text{mm}$ ,  $u=30\text{mm}$ .

- (2) Prove that for a spherical wave passing through an optical system with ray transfer matrix  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ , that the input and output radii of curvatures are related by (3pts.)

$$R_{out} = \frac{AR_{in} + B}{CR_{in} + D}$$

For the optical system below what is the output radius of curvature as the incoming wave has  $R_{in} \rightarrow \infty$ ? (2 pts.)



What happens to  $R_{out}$  as  $f_1 + f_2 \rightarrow d$ ? (2pts.) Describe an important application of this kind of arrangement. (2pts.)

- (3) The transformed impedance of a transparent window with air ( $n = 1$ ) on both sides is

$$Z_3'' = Z_2' \left( \frac{Z_1' \cos k_2 d' + j Z_2' \sin k_2 d'}{Z_2' \cos k_2 d' + j Z_1' \sin k_2 d'} \right),$$

where the parameter  $d'$  is the effective thickness of the window.

Coherent light with 50% P-polarization, 50% S-polarization is incident on a window with  $n = 3.5$  that has an effective thickness of  $\frac{2}{5}\lambda$  at an angle of incidence of  $40^\circ$ . What is the overall transmittance of the window? (7pts.) What is the polarization state of the reflected light? (2pts.) At what angle of incidence is all the reflected light pure S-polarized? (1pt.)

Hint: For P-waves  $Z' = Z_0 \cos \theta/n$ .

(4) The Gaussian beam parameter  $q$  is usually defined as

$$\frac{1}{q} = \frac{1}{R} - j \frac{\lambda}{\pi w^2}$$

If the beam waist of a Gaussian beam is at  $z = 0$  than prove that at distance  $z$  from the beamwaist (4pts.)

$$w^2(z) = w_0^2 \left[ 1 + \left( \frac{\lambda z}{\pi w_0^2} \right)^2 \right],$$

and

$$R(z) = z \left[ 1 + \left( \frac{\pi w_0^2}{\lambda z} \right)^2 \right].$$

Use these results to show that the beam divergence angle is (2pts.)

$$\theta_{beam} = \frac{\lambda}{\pi w_0} \quad (2pts.)$$

An elliptical Gaussian beam (a Gaussian beam with different minimum spotsizes in orthogonal planes) has minimum spotsize in the  $yz$  plane of  $50\mu\text{m}$ , and in the  $xz$  plane  $200\mu\text{m}$ . If the beam waists in both planes are at  $z=0$ , and a thin lens of focal length  $f=5\text{mm}$  is placed at the beam waist, where is the focused spot circular? (4pts.) Take the wavelength as  $1.55\mu\text{m}$ .

(5) Do three of the following

(a) Explain how a photomultiplier tube works. Prove that for the photocathode in such a device the responsivity  $R$  is

$$R = \frac{e\eta}{h\nu}$$

Explain the meaning of the quantities that you use.

(b) The concepts of radiant intensity, radiant emittance, and radiance, and the properties of a Lambertian surface.

(c) The design of a Cassegrain telescope. Explain the key differences between such a telescope and a standard terrestrial telescope.

(6) Prove that the numerical aperture of an optical fiber with core index  $n_1$  and cladding index  $n_2$  is

$$\sin \theta_0 = NA = \sqrt{n_1^2 - n_2^2}. \quad (2pts.)$$

Prove also that the number of modes that can propagate in a step-index optical fiber can be estimated in a simple 2-D model as (3pts.)

$$m = \frac{2D(NA)}{\lambda_0}.$$

A fiber with  $n_1=1.46$ ,  $n_2=1.455$ , and with core diameter  $20\mu\text{m}$  has a point source placed on axis right against the end planar face of the core, but just outside the core. The power of the source is  $1\text{mW}$ . What power gets to the far end of the fiber, which is  $100\text{m}$  long? (4pts.) What is the minimum wavelength of the source that would make the fiber a *single-mode* fiber? (1pt.)

Hint: The solid angle corresponding to a cone of semi-vertical angle  $\theta$  is  $\delta\omega = 2\pi(1 - \cos\theta)$ .

## ENEE 408E 2004 Final Exam Solutions

(1) The ray transfer matrix for the system from object to image is

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & v \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{bmatrix} 1 - \frac{v}{f} & \left(1 - \frac{v}{f}\right) \cdot u + v \\ -\frac{1}{f} & -\frac{1}{f} \cdot u + 1 \end{bmatrix}$$

For imaging, any ray from a point on the object must pass through a corresponding point on the image. This must be independent of the ray angle, so B must=0

$$\left(1 - \frac{v}{f}\right) \cdot u + v = 0 \quad \text{which gives} \quad \frac{1}{u} + \frac{1}{v} = \frac{1}{f}$$

linear magnification is element A of the matrix, angular magnification is element D

$$m = 1 - \frac{v}{f} = \frac{-v}{u} \quad \text{linear magnification}$$

$$m1 = 1 - \frac{u}{f} = \frac{-u}{v} \quad \text{angular magnification}$$

$$m(u, f) := \frac{1}{1 - \frac{u}{f}} \quad m1(u, f) := 1 - \frac{u}{f} \quad v(u, f) := \frac{1}{\frac{1}{f} - \frac{1}{u}}$$

$$(a) \quad v(150, 50) = 75 \quad m(150, 50) = -0.5 \quad m1(150, 50) = -2$$

$$(b) \quad v(150, -50) = -37.5 \quad m(150, -50) = 0.25 \quad m1(150, -50) = 4$$

$$(c) \quad v(30, 50) = -75 \quad m(30, 50) = 2.5 \quad m1(30, 50) = 0.4$$

(2) For an input spherical wave of radius  $R_{in}$  the relationship between ray height and ray angle is

$$r1_{in} = \frac{r_{in}}{R_{in}}$$

$$r_{out} = A \cdot r_{in} + B \cdot r1_{in}$$

$$r1_{out} = C \cdot r_{in} + D \cdot r1_{in}$$

Dividing the second of these equations by the first gives

$$\frac{r_{out}}{r1_{out}} = \frac{A \cdot r_{in} + B \cdot r1_{in}}{C \cdot r_{in} + D \cdot r1_{in}} = \frac{A \cdot \frac{r_{in}}{r1_{in}} + B}{C \cdot \frac{r_{in}}{r1_{in}} + D} = \frac{(A \cdot R_{in} + B)}{C \cdot R_{in} + D}$$

For the system given

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{bmatrix} 1 - \frac{d}{f_1} & d \\ -1 - \frac{\left(\frac{-1}{f_2} \cdot d + 1\right)}{f_1} & -1 \cdot d + 1 \end{bmatrix}$$

which gives

$$R_{out} = \frac{\left(1 - \frac{d}{f_1}\right) \cdot R_{in} + d}{\left[\frac{-1}{f_2} - \frac{\left(\frac{-1}{f_2} \cdot d + 1\right)}{f_1}\right] \cdot R_{in} + 1 - \frac{d}{f_2}}$$

As  $R_{in}$  goes to infinity

$$R_{out} = \frac{1 - \frac{d}{f_1}}{\frac{d}{f_1 \cdot f_2} - \frac{1}{f_2} - \frac{1}{f_1}} = \frac{(f_1 - d) \cdot f_2}{d - (f_1 + f_2)}$$

As  $f_1 + f_2$  approaches  $d$ ,  $R_{out}$  goes to infinity. This is clearly a re-collimator or beam expander. One of the focal lengths can be negative to accomplish this

(3)  $n := 3.5$

$k2d := \frac{2}{5} \cdot 2 \cdot \pi$       This is the phase shift in the slab

$\theta_1 := 40 \cdot \text{deg}$

$\theta_2 := \text{asin}\left(\frac{\sin(\theta_1)}{n}\right)$

$\theta_2 = 0.185$        $\frac{\theta_2}{\text{deg}} = 10.583$

Since  $Z_0$  cancels out we can write

$Z1P := \cos(\theta_1)$        $Z2P := \frac{\cos(\theta_2)}{n}$

$Z1S := \frac{1}{\cos(\theta_1)}$        $Z2S := \frac{1}{n \cdot \cos(\theta_2)}$

$Z311P := Z2P \cdot \frac{(Z1P \cdot \cos(k2d) + i \cdot Z2P \cdot \sin(k2d))}{Z2P \cdot \cos(k2d) + i \cdot Z1P \cdot \sin(k2d)}$        $Z311P = 0.238 + 0.267i$

$Z311S := Z2S \cdot \frac{(Z1S \cdot \cos(k2d) + i \cdot Z2S \cdot \sin(k2d))}{Z2S \cdot \cos(k2d) + i \cdot Z1S \cdot \sin(k2d)}$        $Z311S = 0.171 + 0.348i$

$\rho_P := \frac{Z311P - Z1P}{Z311P + Z1P}$        $\rho_S := \frac{Z311S - Z1S}{Z311S + Z1S}$

$\rho_P = -0.426 + 0.379i$        $\rho_S = -0.675 + 0.394i$

$TP := 1 - (|\rho_P|)^2$        $TS := 1 - (|\rho_S|)^2$

$TP = 0.675$        $TS = 0.389$

$T_{\text{overall}} := \frac{TP + TS}{2}$

$T_{\text{overall}} = 0.532$

The reflected light is clearly elliptically polarized, and contains more S than P

There is only an S-wave reflected at Brewster's angle  $\frac{\text{atan}(n)}{\text{deg}} = 74.055$  degrees

(5)  $\lambda_0 := 1.55 \cdot 10^{-6}$

$$f := 5 \cdot 10^{-3}$$

$$w01 := 50 \cdot 10^{-6}$$

$$q_{in1} := i \cdot \frac{\pi \cdot w01^2}{\lambda_0}$$

$$w02 := 200 \cdot 10^{-6}$$

$$A := 1 \quad B := 0$$

$$C := \frac{-1}{f} \quad D := 1$$

$$q_{in2} := i \cdot \frac{\pi \cdot w02^2}{\lambda_0}$$

$$q_{out1} := \frac{A \cdot q_{in1} + B}{C \cdot q_{in1} + D}$$

$$q_{out2} := \frac{A \cdot q_{in2} + B}{C \cdot q_{in2} + D}$$

$$q_{out1} = -2.533 \times 10^{-3} + 2.5i \times 10^{-3} \quad \text{This focus is at 2.533mm}$$

$$q_{out2} = -4.981 \times 10^{-3} + 3.072i \times 10^{-4} \quad \text{This focus is at 4.981 mm}$$

$$d := 4 \cdot 10^{-3} \quad \text{Guess}$$

Given

$$\text{Im}\left(\frac{1}{q_{out1} + d}\right) = \text{Im}\left(\frac{1}{q_{out2} + d}\right)$$

$$d_{\text{circ}} := \text{Find}(d)$$

$$d_{\text{circ}} = 4.011 \times 10^{-3}$$

Beam is circular at 4.011mm

$$(6) \quad n_1 := 1.46 \quad n_2 := 1.455$$

$$NA := \sqrt{n_1^2 - n_2^2}$$

$$NA = 0.121$$

Max acceptance angle is

$$\theta_0 := \text{asin}(NA)$$

$$\frac{\theta_0}{\text{deg}} = 6.934$$

The fraction of the power from the source that is within a cone of this semiangle is

$$F := 2 \cdot \pi \cdot \frac{(1 - \cos(\theta_0))}{4 \cdot \pi}$$

$$F = 3.657 \times 10^{-3}$$

Only light within this angle gets guided and will go a 100m because the fiber has negligible loss over this distance

$$P_{\text{out}} := 10^{-3} \cdot F$$

$$P_{\text{out}} = 3.657 \times 10^{-6} \quad 3.66 \text{ microwatts}$$

$$D := 20 \cdot 10^{-6}$$

For single mode  $m$  has to be less than 1

$$\lambda_0 := 2 \cdot D \cdot NA$$

$$\lambda_0 = 4.829 \times 10^{-6} \quad \text{Minimum wavelength is 4.83 micrometers}$$